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UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

JOHN FARROW, et al.,  
Plaintiffs,  
v.  
ROBIN LIPETZKY,  
Defendant.

Case No. [12-cv-06495-JCS](#)

**ORDER GRANTING DEFENDANT’S  
MOTION TO DISMISS THE SECOND  
AMENDED COMPLAINT**

**I. INTRODUCTION**

Plaintiffs John Farrow (“Farrow”) and Jerome Wade (“Wade”) (collectively, “Plaintiffs”) brought this putative class action against Defendant Robin Lipetzky, in her official capacity as the Contra Costa County Public Defender (“Defendant”). Plaintiffs allege causes of action (1) under 42 U.S.C. § 1983 for (a) violation of the Sixth Amendment to the United States Constitution; and (b) violations of the Fourteenth Amendment to the United States Constitution; (2) under the Bane Act for violation of their statutory speedy trial rights; and (3) for violation of California Government Code § 27706. Plaintiffs claim that Defendant violated their rights by failing to provide counsel at Plaintiffs’ initial appearance on criminal charges -- resulting in a continuance of the proceedings for appointment of counsel. This case focuses on the constitutionality of that continuance: may the Public Defender not provide counsel for appointment at an initial appearance, where the result is a continuance of the remainder of the arraignment 7 to 13 days for appointment of counsel? This Order holds that, in the circumstances pleaded here, such a procedure is constitutional.

Presently before the Court is Defendant’s Motion to Dismiss the Second Amended Complaint (“Motion”). A hearing was held on the Motion on July 26, 2013. At the Court’s request, the parties submitted supplemental briefing on August 2, 2013. For the following reasons, the Motion is GRANTED. Wade will be given leave to amend his Sixth Amendment

United States District Court  
Northern District of California

1 claim within the constraints set forth in this Order. Farrow’s federal claims are dismissed with  
 2 prejudice. Plaintiffs’ state law claims are dismissed without prejudice.<sup>1</sup>

## 3 **II. BACKGROUND**

### 4 **A. The May 8, 2013 Order Dismissing the Complaint Without Prejudice**

#### 5 **1. The Sixth Amendment Claim**

6 In the May 8, 2013 Order Dismissing the Complaint Without Prejudice (“Order”), the  
 7 Court found that the motion at issue raised two related questions:

8 First, does the failure to provide counsel at an initial appearance (at which the only events  
 9 that occur are the provision of a copy of the charges to the defendant, the inquiry as to  
 10 whether the defendant desires appointed counsel, and the continuance of the matter to  
 11 allow for appointment of counsel) violate the Sixth Amendment guarantee of counsel in a  
 12 criminal case? Second, where the matter is continued for 5 to 13 days, at which time  
 13 counsel appears with the defendant, does the delay in appointment of counsel violate the  
 14 Sixth Amendment?

15 Order, 1. The Court answered both questions in the negative. *Id.*

16 First, the Court concluded that the Sixth Amendment right to counsel attached at the initial  
 17 appearance because, on the facts alleged, that was when prosecution began as to each Plaintiff. *Id.*  
 18 at 13-14. Second, the Court stated: “Once the right to counsel attaches, the accused is entitled to  
 19 appointed counsel during any ‘critical stage’ of the post-attachment proceedings.” *Id.* at 14 (citing  
 20 *Rothgery v. Gillespie County, Texas*, 554 U.S. 191, 212, 128 S.Ct. 2578, 171 L.Ed.2d 366 (2008)).  
 21 Third, the Court analyzed whether either (1) the initial appearance; or (2) the 5 to 13 day waiting  
 22 period were, on the facts alleged, critical stages at which Plaintiffs were entitled to counsel. *Id.* at  
 23 14-22.

24 To begin its analysis, the Court noted that “[c]ourts decide whether a state criminal  
 25 proceeding is critical by looking to the functions of the proceeding under state law.” *Id.* at 15  
 26 (citing *Hamilton v. Alabama*, 368 U.S. 52, 54, 82 S.Ct. 157, 7 L.Ed.2d 114 (1961)). The Court  
 27 stated that the Ninth Circuit has developed a three-factor test for determining whether a stage is  
 28 critical. *Id.* (citing *Menefield v. Borg*, 881 F.2d 696, 698-99 (9th Cir. 1989); *U.S. v. Benford*, 574

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<sup>1</sup> The parties have consented to the jurisdiction of a magistrate judge pursuant to 28 U.S.C. § 636(c).

1 F.3d 1228, 1232 (9th Cir. 2009)). The Court described the test as follows: “Any one of these  
2 three factors may be sufficient to make a stage critical: (1) failure to pursue strategies or remedies  
3 results in a loss of significant rights; (2) skilled counsel would be useful in helping the accused  
4 understand the legal confrontation; and (3) the proceeding tests the merits of the accused’s case.”  
5 *Id.* The Court reasoned that: (1) because nothing happened at the initial appearance any failure to  
6 pursue strategies or remedies at that appearance did not result in a loss of significant rights; and  
7 (2) there was no legal confrontation or proceeding at which accused was unrepresented following  
8 the request for counsel. *Id.* at 16-17. The Court further concluded that the fact that, had counsel  
9 been appointed, counsel might have applied for a release on bail, caused a plea to be entered, or  
10 triggered statutory speedy trial rights did not change the analysis. *Id.* at 17. The Court  
11 distinguished cases, cited by Plaintiffs, in which pretrial liberty interests were adjudicated in the  
12 absence of counsel. *Id.* at 19-20.

13 Next, the Court analyzed whether the five to thirteen day waiting period between the initial  
14 appearance, at which counsel was present, and the further arraignment, at which counsel was  
15 appointed, was a critical stage of the post-attachment proceedings. *Id.* at 16-17, 20-22. Although  
16 Plaintiffs did not allege that any event took place during the waiting period at which counsel  
17 would have been necessary, the court noted that *Rothgery* further requires the appointment of  
18 counsel a reasonable time prior to any critical stage after attachment to allow adequate  
19 representation at that critical stage. *Id.* at 16-17, 20. However, the Court stated that Plaintiffs had  
20 not alleged that they were prejudiced, or impeded, at any later critical stages by the absence of  
21 counsel during the five-to-thirteen day waiting period. *Id.* at 22.

22 Based on all of the above, the Court dismissed Plaintiffs’ Sixth Amendment claim, on the  
23 facts alleged, with leave to amend. *Id.* at 22.

## 24 2. Fourteenth Amendment Claims Predicated on a Violation of State 25 Statutory Speedy Trial Rights

26 The Court concluded that Plaintiffs had not alleged a violation of their state speedy trial  
27 rights because the facts alleged were consistent with the requirements of California Penal Code §§  
28 859b, 1049.5, and 1382. *Id.* at 27. Further, the Court found that, on the facts alleged, the

1 procedures of § 1050 were not triggered. *Id.* Accordingly, the Court dismissed Plaintiffs’  
 2 Fourteenth Amendment claims predicated on a violation of their state statutory speedy trial rights  
 3 with leave to amend. *Id.* at 28.

### 4 **3. State Law Claims**

5 After dismissing Plaintiffs’ remaining federal cause of action, which has been replaced  
 6 with a different federal cause of action in Plaintiffs’ Second Amended Complaint (“SAC”), the  
 7 Court declined to exercise its supplemental jurisdiction over Plaintiffs’ remaining state law claims.  
 8 *Id.* at 31-32.

#### 9 **B. The Second Amended Complaint**

10 Pursuant to a now discontinued practice, Defendant withheld legal representation to all  
 11 indigent, in-custody, criminal defendants for a period of 5 to 13 days after their initial Court  
 12 appearance, and sometimes longer. SAC, ¶¶ 1-2.<sup>2</sup> At the first court appearance, dubbed  
 13 “arraignment,” no plea is taken, bail is set without consideration of the favorable information  
 14 counsel would ordinarily provide to the court regarding the criminal defendant’s circumstances,  
 15 the case is referred to the probation department for an evaluation concerning bail, and counsel is  
 16 not appointed as required by California law. *Id.* at ¶ 3. The probation department’s evaluation  
 17 and report is based entirely upon information provided by government sources. *Id.* An indigent  
 18 criminal defendant’s request for court-appointed counsel triggers referral to the Public Defender  
 19 and an automatic continuance for “further arraignment.” *Id.* at ¶ 4. Criminal defendants are not  
 20 apprised of their statutory speedy trial rights prior to the automatic continuance in violation of  
 21 California law. *Id.* at ¶ 6. Good cause for continuance is never shown as required by California  
 22 Penal Code § 1050. *Id.* This thwarts the intent of the California legislature’s statutory speedy trial  
 23 scheme, which requires that in-custody criminal defendants receive probable cause determinations  
 24 through a preliminary hearing at the earliest time possible to protect their crucial liberty interest.  
 25 *Id.* at ¶ 7.

26 Turning to Plaintiffs, Farrow was arrested on August 30, 2011. *Id.* at ¶ 28. He appeared  
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28 <sup>2</sup> The SAC makes distinct allegations concerning the treatment of in custody felony defendants and in custody  
 misdemeanor defendants. The SAC does not specify to which group Plaintiffs belong.

1 alone in court for his arraignment on September 2, 2011. *Id.* at ¶ 29. The court asked him if he  
2 could afford counsel, and he replied that he could not. *Id.* at ¶ 30. The court then asked him if he  
3 wanted the court to appoint counsel, and he said that he did. *Id.* The court set bail, referred the  
4 matter to the Public Defender, and continued the matter to September 15, 2011 for “further  
5 arraignment” without advising Farrow of his right to a prompt arraignment, his right to bail, or his  
6 right to a speedy preliminary hearing and trial. *Id.* Farrow languished in jail, without meaningful  
7 examination of bail, the protection of statutory speedy trial rights, or legal representation, for  
8 thirteen days. *Id.* Also at his September 2, 2011 arraignment, the court referred the matter for a  
9 bail study. *Id.* at ¶ 31. The bail study was conducted between Farrow’s first and second court  
10 appearances and, because Farrow was not represented by counsel, there was no means for the  
11 probation department to include any favorable information in the highly influential report. *Id.* at ¶  
12 31.

13 At the further arraignment held 16 days after his arrest and 13 days after his first court  
14 appearance, counsel was appointed for Farrow and he was permitted to enter a plea. *Id.* at ¶ 32.  
15 He immediately asserted his right to a speedy preliminary hearing and his preliminary hearing was  
16 held on September 27, 2011. *Id.* at ¶ 33. As a result of the delay in the appointment of counsel,  
17 Farrow’s counsel had 13 less days than the prosecutor to prepare for the preliminary hearing. *Id.*  
18 at ¶ 34.

19 Wade was arrested at his high school on November 8, 2011 when he was 17 years old. *Id.*  
20 at ¶ 35. He appeared in Court alone for his arraignment on November 14, 2011 after being held  
21 illegally in violation of California Penal Code § 825. *Id.* at ¶¶ 36-37. Wade was unaware of this  
22 violation of his rights. *Id.* at ¶ 37. The county prosecutor appeared at Wade’s first appearance.  
23 *Id.* at ¶ 38. The proceedings at Wade’s first appearance progressed in the same fashion as  
24 Farrow’s, and the matter was continued to November 21, 2011 for further arraignment. *Id.* at ¶ 39.  
25 Also, as with Farrow, a bail study was ordered and Wade was unable to supply favorable  
26 information because he did not have counsel. *Id.* at ¶ 40. Wade languished in jail for the  
27 following 7 days without examination of bail, the protection of statutory speedy trial rights, or  
28 legal representation. *Id.* at ¶ 39. During that time, the police investigation was ongoing and the

1 district attorney was hard at work on his case. *Id.* at ¶ 42. On November 18, 2011, the district  
 2 attorney filed an amended complaint, amending the complaint filed on November 10, 2011,  
 3 adding an additional fifteen charges and enhancements, greatly increasing Wade's exposure. *Id.* at  
 4 ¶¶ 41, 43. The prosecution was able to file the amended complaint as a matter of right because  
 5 Wade had not yet entered a plea as a result of Defendant's policy. *Id.* at ¶ 43.

6 Counsel was appointed for Wade at his further arraignment on November 21, 2011, 13  
 7 days after his arrest and 7 days after his first appearance as a juvenile charged as an adult. *Id.* at ¶  
 8 44. At that time, his counsel began reviewing approximately 600 pages of discovery. *Id.* at ¶ 45.  
 9 In that process, counsel eventually became aware of a potential *Miranda* issue. *Id.* Because Wade  
 10 had been interrogated in front of his high school principal, Wade's counsel obtained an  
 11 investigative authorization and dispatched an investigator to interview the principal about the  
 12 interrogation. *Id.* At the interview, held on November 28, 2011, the principal maintained that she  
 13 could no longer remember when or how Wade was *Mirandized*. *Id.*<sup>3</sup>

14 On the basis of the foregoing allegations, Plaintiffs allege six causes of action, as follows:

15 (1) Violation of the Sixth Amendment of the United States Constitution Pursuant to 42  
 16 U.S.C. § 1983: Plaintiffs allege that Defendant's failure to represent them at their first  
 17 appearance, or a reasonable time thereafter, as a matter of policy, violated their Sixth Amendment  
 18 right to the assistance of court-appointed counsel. *Id.* at ¶ 57. Plaintiffs allege that they were  
 19 directly and proximately damaged and are entitled to recover nominal damages. *Id.*

20 (2) Violation of the Fourteenth Amendment of the United States Constitution –  
 21 substantive due process with respect to statutory speedy trial rights: Plaintiffs allege that  
 22 Defendant's policy violated the due process clause of the Fourteenth Amendment because it  
 23 deprived them of their statutory speedy trial rights without a hearing to determine the cause or  
 24 reasonableness of the denial. *Id.* at ¶ 60. They seek nominal damages. *Id.* Plaintiffs specify that  
 25 their speedy trial claims rely on California Penal Code §§ 825, 859b, and 1050, specifically, but  
 26 not exclusively, and on the legislative intent supporting California's statutory speedy trial scheme.

27 \_\_\_\_\_  
 28 <sup>3</sup> In addition to the allegations pertaining to Plaintiffs, the SAC contains allegations concerning the putative class they represent.

1 *Id.* at ¶ 10.

2 (3) Violation of the Fourteenth Amendment of the United States Constitution –  
 3 procedural due process with respect to statutory speedy trial rights: Plaintiffs repeat the  
 4 allegations in their second cause of action. *Id.* at ¶ 63.

5 (4) Violation of the Equal Protection Clause of the Fourteenth Amendment of the  
 6 United States Constitution: Plaintiffs allege that Defendant’s policy denied them their right to a  
 7 prompt arraignment, their right to assistance of counsel, and their statutory speedy trial rights on  
 8 the basis of their indigence because similarly situated criminal defendants who could afford  
 9 private counsel were furnished prompt arraignments, were permitted to enter pleas at their first  
 10 appearance, were allowed to influence the probation department with favourable information  
 11 concerning bail in the days following arraignment, were immediately able to apply for bail, and  
 12 were immediately able to assert their statutory speedy trial rights and begin trial preparation. *Id.* at  
 13 ¶ 66. Plaintiffs seek nominal damages. *Id.*

14 (5) California Civil Rights Act, Civil Code §§ 52 and 52.1 – denial of statutory speedy  
 15 trial rights: Plaintiffs allege that Defendant’s policies forced them to sacrifice their speedy trial  
 16 rights as a precondition to appointment of counsel, entitling them to a minimum of \$4,000. *Id.* at ¶  
 17 69.

18 (6) California Code of Civil Procedure §§ 1085 and 1086 – writ of mandate to enforce  
 19 California Government Code § 27706: Plaintiffs allege that Defendant’s policies violate  
 20 California Government Code § 27706, which requires the public defender to represent criminal  
 21 defendants at all stages of the proceedings, and seek a writ of mandate compelling Defendant to  
 22 represent all indigent, in custody defendants at the first appearance or a reasonable time thereafter.  
 23 *Id.* at ¶ 72.

24 **C. The Motion**

25 Defendant argues that Plaintiffs have failed to rectify the deficiencies in their Complaint.  
 26 Motion, 4. First, Defendant argues that there has been no violation of the Sixth Amendment’s  
 27 right to assistance of counsel. Motion, 4-9. Defendant states that Article I, section 14 of the  
 28 California Constitution, which governs arraignments in felony prosecutions, provides in relevant

1 part: “The magistrate shall immediately give the defendant a copy of the complaint, inform the  
2 defendant of the defendant’s right to counsel, allow the defendant a reasonable time to send for  
3 counsel, and on the defendant’s request read the complaint to the defendant.” *Id.* at 4-5.  
4 Defendant asserts that the SAC is predicated on an alleged failure to provide counsel at a non-  
5 critical stage. *Id.* at 5. In that instance, they argue that Plaintiffs must show prejudice to their  
6 substantive rights as a result of the absence of counsel. *Id.* (citing *McNeal v. Adams*, 623 F.3d  
7 1283, 1288 (9th Cir. 2010)). Defendant contends that Plaintiffs cannot do so. *Id.* In that vein,  
8 Defendant argues that Plaintiffs cannot plausibly suggest that their lack of input into the bail  
9 studies caused them prejudice as they would have been able to raise any bail rights at a subsequent  
10 bail hearing. *Id.* at 5-6 (citing Cal. Penal Code § 1270.1(b)-(c); *State of New Jersey v. Anthony*  
11 *Fann*, 239 N.J.Super. 507, 517-18, 520-21, 571 A.2d 1023 (1990)). Moreover, Defendant argues  
12 that none of the allegations specific to Wade plausibly states a claim of prejudice. *Id.* at 7-9.

13 Second, Defendant argues that Plaintiffs cannot demonstrate that any of their state  
14 statutory speedy trial rights because (1) the Court held in its previous Order that Plaintiffs’ did not  
15 allege a violation of California Penal Code § 859b because Plaintiffs did not allege that a  
16 preliminary examination was not held within 10 court days of the date they were arraigned or pled,  
17 whichever occurs later; (2) the Court held in its previous Order that California Penal Code § 1050  
18 lacks the mandatory language to create a liberty interest protected by the Fourteenth Amendment;  
19 and (3) California Penal Code § 825 does not mandate dismissal in the event of a violation. *Id.* at  
20 9-10. As to § 825, Defendant also argues that she had no control over the time when Plaintiffs  
21 were initially brought before the magistrate. *Id.* at 10. Moreover, Defendant contends that § 825  
22 does not mandate that arraignment be completed when the criminal defendant is first brought  
23 before the magistrate. *Id.*

24 Third, Defendant argues that Plaintiffs cannot establish a violation of the Fourteenth  
25 Amendment based on the Equal Protection Clause because Defendant does not represent  
26 individuals who can afford counsel with the exception of capital defendants and because a single  
27 policy that does not distinguish between classes of individuals cannot support an equal protection  
28 claim. *Id.* at 10-11.



1 Fourth, Defendant contends that Plaintiffs remaining state law claims should be dismissed  
2 without prejudice for lack of subject matter jurisdiction. *Id.* at 11. In the alternative, Defendant  
3 argues that the state law claims are without merit because (1) Plaintiffs have failed to allege any  
4 violation of their state speedy trial rights; and (2) Defendant complies fully with the directive of  
5 California Government Code § 27706(a) to defend “[u]pon request of the defendant or upon order  
6 of the court.” *Id.* at 11-12.

7 **D. The Opposition**

8 First, Plaintiffs argue that Defendant’s policy is unconstitutional because counsel is  
9 required at all critical stages, and arraignment is a critical stage in California. Plaintiffs’ Response  
10 in Opposition to Defendant’s Rule 12(b)(6) Motion to Dismiss the Second Amended Complaint  
11 (“Opposition”), 2. Plaintiffs contend that the Court erroneously overruled California law in its  
12 previous Order by concluding that the initial appearance, including the initial portions of the  
13 arraignment, is not a critical stage. *Id.* Plaintiffs begin by stating that critical stages are specific to  
14 the jurisdiction, not to the defendant. *Id.* at 5 (citing *Hamilton*, 368 U.S. at 54, 82 S.Ct. 157, 7  
15 L.Ed.2d 114). Plaintiffs further state that considerations regarding a specific defendant come into  
16 play only when considerations of prejudice – in addition to the denial of counsel at a “critical  
17 stage” – may be required to reverse a conviction. *Id.* at 5. Plaintiffs contend that any analysis of  
18 prejudice is irrelevant to a civil action brought under § 1983. *Id.* Plaintiffs note that numerous  
19 California courts have held that arraignment is a critical stage of the criminal proceedings entitling  
20 the accused to an attorney, although the absence of counsel may not be such a grievous error that it  
21 compels reversal of a conviction without showing of prejudice. *Id.* at 7 (collecting cases,  
22 including *People v. Cox*, 193 Cal.App.3d 1434, 1440, 239 Cal.Rptr. 40 (1987)). In addition to  
23 their argument that California precedent compels the conclusion that the arraignment is a critical  
24 stage in the proceedings, Plaintiffs assert that arraignment is a critical stage because detainees  
25 would benefit from guidance to avoid a forced continuance and because custody status is initially  
26 determined. *Id.* at 8-9. However, Plaintiffs decline to re-litigate whether arraignment is a critical  
27 stage of the proceedings in California. *Id.* at 9.

28 As to the waiting period, Plaintiffs state that *Rothgery* “point[s] the way” to the conclusion

1 that counsel must be appointed “on the heels of the first appearance.” *Id.* at 10-11 (citing  
2 *Rothgery*, 554 U.S. at 203-05, 128 S.Ct. 2578, 171 L.Ed.2d 366. Plaintiffs assert that each of the  
3 three district court opinions interpreting *Rothgery* are inapposite. *Id.* at 10.

4 Moreover, Plaintiffs argue that the Court erred in concluding that the portions of the  
5 arraignment that took place without the presence of counsel were not a critical stage of the  
6 proceedings in themselves. *Id.* at 11-14. Plaintiffs state that the Sixth Amendment requires  
7 counsel to be provided at every stage of a criminal proceeding where substantial rights of a  
8 criminal accused may be affected. *Id.* at 11 (citing *McNeal*, 623 F.3d at 1286). Plaintiffs state that  
9 prejudice is irrelevant to the determination of whether the stage is critical. *Id.* Plaintiffs argue that  
10 the Court erroneously relied on *Benford*, because *Benford* is distinguishable based on its reliance  
11 on the reversible per se standard as opposed to narrower question of harmless error. *Id.* at 2-3  
12 (citing *Benford*, 574 U.S. at 1233 (“[W]e do not hold that a status conference never can be a  
13 critical stage, but only that this one was not. Additionally, because we address here only  
14 Defendant’s claim that the absence of his counsel at the status conference constitutes per se  
15 ineffective assistance of counsel, he may bring all other claims in a habeas proceeding, the validity  
16 of which we do not consider here”). In any event, to the extent that a showing of prejudice is  
17 required, Plaintiffs contend that they have alleged prejudice. *Id.* at 14.

18 Second, Plaintiffs argue that Defendant violated the Fourteenth Amendment by  
19 deliberately failing to assert their state statutory speedy trial rights. *Id.* at 14-18. Plaintiffs  
20 contend that the Court overlooked the fact that the core value protected by California’s speedy  
21 trial scheme is time, and that once lost it can never be recovered. *Id.* at 14. Moreover, Plaintiffs  
22 assert that the legislative intent behind the speedy trial statutes dictates that they be read as a  
23 whole in light of their goal of preventing prolonged incarceration prior to a preliminary hearing.  
24 *Id.* at 14-17 (citing *People v. Kowalski*, 196 Cal.App.3d 174, 178, 242 Cal.Rptr. 32 (1987); *In re*  
25 *Samano*, 31 Cal.App.4th 984, 989-90, 37 Cal.Rptr.2d 491 (1995); *Sykes v. Superior Court*, 9  
26 Cal.3d 83, 88, 106 Cal.Rptr. 786, 507 P.2d 90 (1973); *People v. Martinez*, 22 Cal.4th 750, 768 n.1,  
27 94 Cal.Rptr.2d 381, 996 P.2d 32 (2000); *People v. Valencia*, 82 Cal.App.4th 139, 144-45, 98  
28 Cal.Rptr.2d 37 (2000)). Plaintiffs state that a criminal defendant’s right to a speedy trial may be

1 denied by the failure of the state to provide public defenders so that an indigent must choose  
2 between his right to a speedy trial and his right to representation by counsel. *Id.* at 16 (citing  
3 *People v. Johnson*, 26 Cal.3d 557, 571, 162 Cal.Rptr. 431, 606 P.2d 738 (1980)). Plaintiffs  
4 contend that Defendant subverted the statutory scheme by failing to appear at the initial  
5 appearance. *Id.* at 17-18.

6 Third, Plaintiffs contend that they have stated a claim under the equal protection clause.  
7 *Id.* at 18-19. Plaintiffs argue that the state cannot justify, in the face of strict scrutiny, a policy that  
8 denies speedy trial rights to indigent people. *Id.* at 18-19 (citing *Barsamyan v. Appellate Div. of*  
9 *Superior Court of Los Angeles Cnty.*, 44 Cal.4th 960, 981-82, 81 Cal.Rptr.3d 265, 189 P.3d 271  
10 (2008); *Young v. Gness*, 7 Cal.3d 18, 28, 101 Cal.Rptr. 533, 496 P.2d 445 (1972); *People v.*  
11 *Olivas*, 17 Cal.3d 236, 251, 131 Cal.Rptr. 55, 551 P.2d 375 (1976); *Evitts v. Lucey*, 469 U.S. 387,  
12 403-04, 105 S.Ct. 830, 83 L.Ed.2d 821 (1985)).

### 13 **E. The Reply**

14 Defendant begins with Plaintiffs' Sixth Amendment claim. Reply Brief of Defendant in  
15 Support of Motion to Dismiss Second Amended Complaint ("Reply"), 3-7. Defendant notes that  
16 the Court did not hold in its prior Order that arraignment under California law is not a critical  
17 stage. *Id.* at 4 n.3. Indeed, Defendant concedes that the second appearance wherein arraignment  
18 was completed was a critical stage. *Id.* at 5 n.4. Regardless, Defendant states that the initial  
19 appearance was not a critical stage for the reasons set out in the Court's prior Order. *Id.* at 4.  
20 Defendant argues that Plaintiffs have not identified any contrary authority. *Id.* at 4-5 (collecting  
21 and distinguishing cases). Because counsel was present at all critical stages, Defendant asserts  
22 that Plaintiffs' were required to plead factual allegations to support the inference that the absence  
23 of counsel until the second appearance resulted in prejudice to their criminal cases to plead a  
24 violation of the Sixth Amendment. *Id.* at 6-7 (citing *United States v. Gonzalez-Lopez*, 548 U.S.  
25 140, 144, 147, 150, 126 S.Ct. 2557, 165 L.Ed.2d 409 (2006); *Hovey v. Ayers*, 458 F.3d 892, 904  
26 (9th Cir. 2006); *McNeal*, 623 F.3d at 1288-89).

27 Next, Defendant addresses the statutory speedy trial claim. *Id.* at 7-9. Defendant argues  
28 that Plaintiffs cannot rely on California Penal Code §§ 859b and 1050 for the reasons set out in the

1 Court's prior Order. *Id.* at 7. Defendant contends that Plaintiffs cannot rely on § 825 because they  
 2 have not adequately alleged a violation of that section and because it does not mandate dismissal  
 3 in the event of a violation. *Id.* Defendant notes that Plaintiffs argument relies, to some extent, on  
 4 the intent of the legislature. *Id.* at 7-8. Defendant asserts that the history of California Penal Code  
 5 § 1382 demonstrates that the legislature intended to permit delays such as the one in this case. *Id.*  
 6 at 8. Defendant states that § 1382(a)(2) provides speedy trial protections that, since 1965, have  
 7 been triggered by the date the criminal defendant is arraigned. *Id.* Prior to 1965, the statute had  
 8 used at least two different triggers. In 1977, a California Court of Appeal held that a multiple  
 9 hearing arraignment was not concluded for the purposes of § 1382 until the criminal defendant  
 10 was asked to plea. *Id.* (citing *Valdes v. Municipal Court*, 69 Cal.App.3d 434, 435-39, 138  
 11 Cal.Rptr. 50 (1977)). Thereafter, the legislature amended § 1382 but retained the arraignment  
 12 trigger. *Id.* (citing *People v. Baca*, 211 Cal.App.3d 675, 678, 259 Cal.Rptr. 566 (1989)).

13 Turning to the equal protection clause argument, Defendant contends that Plaintiffs rely on  
 14 an underlying violation of their speedy trial rights. *Id.* at 9-10. Because Defendant argues that  
 15 there was no such violation, Defendant asserts that the equal protection clause claim fails. *Id.* at  
 16 10.

17 Having addressed all federal claims, Defendant argues that the remaining state law claims  
 18 should be dismissed without prejudice for lack of subject matter jurisdiction. *Id.* at 10-11.

#### 19 **F. Supplemental Briefing**

20 At the July 26, 2013 hearing, the Court requested supplemental briefing to address the  
 21 recent Ninth Circuit opinion in *Lopez-Valenzuela v. County of Maricopa*, \_\_ F.3d \_\_, 2013 WL  
 22 2995220 (9th Cir. June 18, 2013), which is discussed in more detail below. Defendant argues that  
 23 the *Lopez-Valenzuela* decision, and its underlying logic, supports the ruling in the prior Order.  
 24 Defendant's Supplemental Brief in Support of Motion ("Defendant's Supplemental"), 3.<sup>4</sup>

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25  
 26  
 27 <sup>4</sup> Defendant also uses the supplemental brief as a vehicle to press arguments concerning Wade's potential ability to  
 28 allege prejudice if he is given leave to amend. Defendant's Supplemental, 3-5. The Court did not invite further  
 argument on that issue, and Plaintiffs, properly understanding the Court's request, did not address the issue in their  
 supplemental brief. The Court does not consider these additional arguments Defendant raises in her supplemental  
 brief.

1 Plaintiffs argue that *Lopez-Valenzuela* is distinguishable. The foundation of Plaintiffs’  
 2 argument is that the Arizona procedure in *Lopez-Valenzuela* was held in accordance with the  
 3 statutory design, whereas the initial appearance in this case should have been a complete  
 4 arraignment in accordance with California law. Plaintiffs’ Supplemental Brief re: *Lopez-*  
 5 *Valenzuela v. County of Maricopa* (“Plaintiffs’ Supplemental”), 4-9. Plaintiffs distinguish the  
 6 initial appearances at issue in *Lopez-Valenzuela* from arraignment in California. *Id.* at 4.  
 7 Plaintiffs do not dispute that the Arizona legislature can provide for an initial appearance as  
 8 described in *Lopez-Valenzuela*, or that counsel would not be required at such an appearance. *Id.* at  
 9 4-5. Instead, Plaintiffs argue that Defendant usurped the role of the legislature by bifurcating the  
 10 California arraignment proceedings to impose an Arizona-style initial appearance. *Id.* at 4-5, 9.

### 11 **III. ANALYSIS**

#### 12 **A. Legal Standard**

13 A complaint may be dismissed for failure to state a claim for which relief can be granted  
 14 under Rule 12(b)(6) of the Federal Rules of Civil Procedure. Fed. R. Civ. P. 12(b)(6). “The  
 15 purpose of a motion to dismiss under Rule 12(b)(6) is to test the legal sufficiency of the  
 16 complaint.” *N. Star. Int’l v. Ariz. Corp. Comm’n*, 720 F.2d 578, 581 (9th Cir. 1983). In ruling on  
 17 a motion to dismiss under Rule 12(b)(6), the Court takes “all allegations of material fact as true  
 18 and construe[s] them in the light most favorable to the non-moving party.” *Parks Sch. of Bus. v.*  
 19 *Symington*, 51 F.3d 1480, 1484 (9th Cir. 1990).

20 Generally, the plaintiff’s burden at the pleading stage is relatively light. Rule 8(a) requires  
 21 a “short and plain statement of the claim showing that the pleader is entitled to relief.” Fed. R.  
 22 Civ. P. 8(a)(2). The complaint need not contain “detailed factual allegations,” but must allege  
 23 facts sufficient to “state a claim to relief that is plausible on its face.” *Ashcroft v. Iqbal*, 556 U.S.  
 24 662, 663, 129 S.Ct. 1937, 173 L.Ed.2d 868 (2009) (citing *Bell Atl. Corp. v. Twombly*, 550 U.S.  
 25 544, 547, 127 S.Ct. 1955, 167 L.Ed.2d 929 (2007)). The factual allegations must be definite  
 26 enough to “raise a right to relief above the speculative level on the assumption that all of the  
 27 complaint’s allegations are true.” *Twombly*, 550 U.S. at 545, 127 S.Ct. 1955, 167 L.Ed.2d 929.  
 28 “[T]he tenet that a court must accept a complaint’s allegations as true is inapplicable to threadbare

1 recitals of a cause of action’s elements, supported by mere conclusory statements.” *Iqbal*, 556  
 2 U.S. at 663, 129 S.Ct. 1937, 173 L.Ed.2d 868.

3 **B. 42 U.S.C. § 1983**

4 42 U.S.C. § 1983 provides a cause of action against any person who, under color of state  
 5 law, deprives another of any rights, privileges, or immunities secured by the Constitution or laws  
 6 of the United States. § 1983 is not a source of substantive rights, but merely a method for  
 7 vindicating federal rights established elsewhere. *Graham v. Connor*, 490 U.S. 386, 393-94, 109  
 8 S.Ct. 1865, 104 L.Ed.2d 443 (1989). To state a claim for a violation of § 1983, a plaintiff must  
 9 allege a deprivation of a constitutional right by a government official acting “under color of state  
 10 law.” *Broam v. Bogan*, 320 F.3d 1023, 1028 (9th Cir. 2003).

11 Plaintiffs sufficiently allege that Defendant, sued in her official capacity as the Public  
 12 Defender for Contra Costa County, acted “under the color of state law.” *See Am. Mfrs. Mut. Ins.*  
 13 *Co. v. Sullivan*, 526 U.S. 40, 50, 119 S.Ct. 977, 143 L.Ed.2d 130 (1999) (allegations against public  
 14 officials satisfy the state action requirement); *Miranda v. Clark County*, 319 F.3d 465, 469-71 (9th  
 15 Cir. 2003) (Public Defender is a state actor for the purposes of § 1983 when acting solely as the  
 16 administrative head of the agency, on behalf of the county, in determining how the overall  
 17 resources of the Public Defender’s office will be spent).

18 “[A] local government body may be liable if it has a policy of inaction and such inaction  
 19 amounts to a failure to protect constitutional rights. [Citation]. However, the policy of inaction  
 20 must be more than mere negligence, [citation]; it must be a conscious or deliberate choice among  
 21 various alternatives. [Citation].” *Mortimer v. Baca*, 594 F.3d 714, 722 (9th Cir. 2010) (quoting  
 22 *Berry v. Baca*, 379 F.3d 764, 767 (9th Cir. 2004)); *see also Oviatt v. Pearce*, 954 F.2d 1470, 1474  
 23 (9th Cir. 1992). To impose liability based on a policy of deliberate inaction, the “plaintiff must  
 24 establish: (1) that he possessed a constitutional right of which he was deprived; (2) that the  
 25 municipality had a policy; (3) that this policy amounts to deliberate indifference to the plaintiff’s  
 26 constitutional right; and (4) that the policy [was] the moving force behind the constitutional  
 27 violation.” *Oviatt*, 954 F.3d at 1474 (internal quotations omitted).

28 //

1           **C. Sixth Amendment Right to Counsel**

2           As discussed below, Plaintiffs have not alleged facts that show a violation of their Sixth  
3 Amendment right to counsel. Accordingly, Defendant’s Motion to dismiss their first cause of  
4 action, asserting a § 1983 claim for a violation of that right, is granted. Farrow’s Sixth  
5 Amendment claim is dismissed with prejudice. Wade’s Sixth Amendment claim is dismissed with  
6 leave to amend within the constraints set forth below.

7                   **1. Background Law**

8                           **a. Attachment**

9           The Sixth Amendment right to counsel in criminal prosecutions attaches when prosecution  
10 begins. *Rothgery*, 554 U.S. at 198-99, 128 S.Ct. 2578, 171 L.Ed.2d 366 (citing *McNeil v.*  
11 *Wisconsin*, 501 U.S. 171, 175, 111 S.Ct. 2204, 115 L.Ed.2d 158 (1991)). Prosecution begins with  
12 the initiation of adversarial judicial criminal proceedings, “whether by way of formal charge,  
13 preliminary hearing, indictment, information, or arraignment.” *Id.* at 198-99 (quoting *United*  
14 *States v. Gouveia*, 467 U.S. 180, 188, 104 S.Ct. 2292, 81 L.Ed.2d 146 (1984)). Federal law  
15 determines what suffices as a commitment to prosecute for purposes of the attachment of the right  
16 to counsel. *Id.* at 207 (citing *Moran*, 475 U.S. at 429, n. 3 (“[T]he type of circumstances that  
17 would give rise to the right would certainly have a federal definition”). The right to counsel  
18 attaches at the initial appearance before a judicial officer, which is generally the hearing at which  
19 “the magistrate informs the defendant of the charge in the complaint, and of various rights in  
20 further proceedings,” and “determine[s] the conditions for pretrial release.” *Id.* (citing 1 W.  
21 LaFave, J. Israel, N. King, & O. Kerr, *Crim. P.* § 1.4(g), p 135 (3d ed. 2007)).

22                           **b. Critical Stage Determination**

23           Once the right to counsel attaches, the accused is entitled to appointed counsel during any  
24 “critical stage” of the post-attachment proceedings. *Rothgery*, 554 U.S. at 212, 128 S.Ct. 2578,  
25 171 L.Ed.2d 366. “[C]ourts are ‘require[d] ... to conclude that a trial is unfair if the accused is  
26 denied counsel at a critical stage of his trial.’” *Musladin v. Lamarque*, 555 F.3d 830, 836 (9th Cir.  
27 2009) (quoting *United States v. Cronin*, 466 U.S. 648, 659, 104 S.Ct. 2039, 80 L.Ed.2d 657  
28 (1984)); *see also Benford*, 574 F.3d at 1232.

1 A critical stage is a “stage of a criminal proceeding where substantial rights of a criminal  
2 accused may be affected.” *Hovey*, 458 F.3d at 901 (quoting *Mempa v. Rhay*, 389 U.S. 128, 134,  
3 88 S.Ct. 254, 19 L.Ed.2d 336 (1967)). While there is no definitive list of critical stages, decisions  
4 of the United States Supreme Court identify certain stages as critical. *See Iowa v. Tovar*, 541 U.S.  
5 77, 81, 124 S.Ct. 1379, 158 L.Ed.2d 209 (2004) (entry of a guilty plea); *Gardner v. Florida*, 430  
6 U.S. 349, 358, 97 S.Ct. 1197, 51 L.Ed.2d 393 (1977) (sentencing); *United States v. Wade*, 388  
7 U.S. 218, 236-37, 87 S.Ct. 1926, 18 L.Ed.2d 1149 (1967) (post-indictment lineup). Case law also  
8 illustrates stages that are not critical. *Gilbert v. California*, 388 U.S. 263, 267, 87 S.Ct. 1951, 18  
9 L.Ed.2d 1178 (1967) (taking a handwriting sample); *United States v. Ash*, 413 U.S. 300, 321, 93  
10 S.Ct. 2568, 37 L.Ed.2d 619 (1973) (post-indictment photo lineup); *Hovey*, 458 F.3d at 902 (mid-  
11 trial hearing on the competency of defendant’s lawyer).

12 Courts decide whether a state criminal proceeding is critical by looking to the functions of  
13 the proceeding under state law. *See Hamilton*, 368 U.S. at 54, 82 S.Ct. 157, 7 L.Ed.2d 114  
14 (“Whatever may be the function and importance of arraignment in other jurisdictions, we have  
15 said enough to show that in Alabama it is a critical stage in a criminal proceeding”); *see also*  
16 *United States ex rel. Cooper v. Reincke*, 333 F.2d 608, 612 (2d Cir. 1964) (“The Connecticut  
17 hearing in probable cause cannot, therefore, be characterized as critical as is arraignment in  
18 Alabama”).

19 The Ninth Circuit has developed a three-factor test for determining whether a stage is  
20 critical. *Menefield*, 881 F.2d at 698-99; *Benford*, 574 F.3d at 1232; *McNeal v. Adams*, 623 F.3d at  
21 1289. Any one of these three factors may be sufficient to make a stage critical: (1) failure to  
22 pursue strategies or remedies results in a loss of significant rights; (2) skilled counsel would be  
23 useful in helping the accused understand the legal confrontation; and (3) the proceeding tests the  
24 merits of the accused’s case. *Menefield*, 881 F.2d at 699; *Hovey*, 458 F.3d at 901-02. In  
25 reviewing the plaintiff’s lack of counsel at a motion for a new trial, the *Menefield* court considered  
26 the substantive rights in question and whether the presence of counsel would have helped the  
27 defendant enforce those rights. *Id.*

28 First, Plaintiffs argue that there is a distinction between a “critical stage” where counsel is



1 required by the Sixth Amendment and a critical stage where, pursuant to *Cronic*, prejudice is  
2 presumed where counsel is absent. Opposition, 12-14. Plaintiffs argue that the Ninth Circuit’s  
3 three factor test only applies in the latter situations. *Id.* at 13 (citing *McNeal*, 623 F.3d at 1289-90  
4 (Berzon, J., concurring in the judgment)). In *McNeal*, the majority opinion set out to clarify the  
5 difference between a stage at which the defendant has a right to counsel and a critical stage  
6 requiring per se reversal if counsel is absent. *See McNeal*, 623 F.3d at 1285. Without clearly  
7 delineating the analysis, the *McNeal* majority first held that the proceeding at issue was not a  
8 critical stage because it involved no “significant consequences” to the defendant’s case. *Id.* at  
9 at1288. The *McNeal* majority proceeded to conclude that the proceeding at issue was not a *Cronic*  
10 critical stage applying the above-referenced three factor test. *Id.* at 1289. The concurring opinion  
11 relied on *Hovey* in criticizing the majority for applying a “significant consequences” test as  
12 opposed to determining whether the proceeding was “any stage of a criminal proceeding where  
13 substantial rights of a criminal accused may be affected.” *Id.* at 1289-90. *Hovey* stated as follows:

14  
15 We reject *Hovey*’s claim that his Sixth Amendment right to counsel was violated because  
16 he was represented only by conflicted counsel, and thus effectively unrepresented, during  
17 the competency hearing. The right to counsel, and the “correlative right” to unconflicted  
18 counsel, [citation], attach at all critical stages of a criminal prosecution. *See, e.g., United*  
19 *States v. Wade*, 388 U.S. 218, 224-25, 87 S.Ct. 1926, 18 L.Ed.2d 1149 (1967). A critical  
20 stage is any “stage of a criminal proceeding where substantial rights of a criminal accused  
may be affected.” *Mempa v. Rhay*, 389 U.S. 128, 134, 88 S.Ct. 254, 19 L.Ed.2d 336  
(1967); *see also Bell v. Cone*, 535 U.S. 685, 696, 122 S.Ct. 1843, 152 L.Ed.2d 914 (2002)  
(defining a critical stage as “a step of a criminal proceeding, such as arraignment, that  
[holds] significant consequences for the accused”).

21 On the basis of Supreme Court precedent, principally *Mempa* and *United States v. Ash*, 413  
22 U.S. 300, 309, 313, 93 S.Ct. 2568, 27 L.Ed.2d 619 (1973), we have distilled a three-factor  
23 test for determining what constitutes a critical stage. We consider whether: (1) “failure to  
24 pursue strategies or remedies results in a loss of significant rights,” (2) “skilled counsel  
25 would be useful in helping the accused understand the legal confrontation,” and (3) “the  
26 proceeding tests the merits of the accused’s case.” *Menefield v. Borg*, 881 F.2d 696, 698-  
27 99 (9th Cir. 1989). The presence of any of these factors may be sufficient to render a stage  
28 in the proceedings “critical.” *Cf. Ash*, 413 U.S. at 313, 93 S.Ct. 2568 (noting that the  
relevant inquiry is “whether the accused require[s] aid in coping with legal problems or  
assistance in meeting his adversary”) (emphasis added).

1 Based on the specific facts of this case, we conclude that the attorney competency hearing  
2 ...

3 *Hovey*, 458 F.3d at 901-902. The clear import of *Hovey* is that a proceeding is only a critical stage  
4 wherein the right to counsel attaches if at least one of the three factors set forth in *Menefield* is  
5 present. *See also Menefield*, 881 F.2d at 698-99 (deriving the three factor test to determine  
6 whether the hearing at issue was a critical stage at which the Sixth Amendment provision of  
7 effective assistance of counsel applied); *Benford*, 574 F.3d at 1232.

8 More recent Ninth Circuit precedent continues to apply the three-factor test to determine  
9 whether state court criminal proceedings “are critical stages that trigger the Sixth Amendment  
10 Right to Counsel.” *See Lopez-Valenzuela*, \_\_\_ F.3d \_\_\_, 2013 WL 2995220, at \*11. In accordance  
11 with the decisions running from *Menefield* to *Lopez-Valenzuela*, the Court concludes that the  
12 three-factor test set forth above is properly applied in determining whether a particular state court  
13 criminal proceeding is a “critical stage[] that trigger[s] the Sixth Amendment right to counsel.” *Id.*

14 Second, Plaintiffs contend that the Court should not apply the Ninth Circuit’s three-factor  
15 test to the state procedures in this case because California courts have already determined that  
16 arraignment is a critical stage in the proceedings.<sup>5</sup> The Court agrees that arraignment is a critical  
17 stage of the proceedings. Nothing in its prior Order is to the contrary. However, on the facts  
18 alleged, counsel was requested immediately after arraignment began and no further arraignment  
19 proceedings were undertaken until counsel was present, at which time the arraignment was  
20 completed. *See* Order, 15-16; Cal. Penal Code § 988; *Chartruck v. Municipal Court*, 50  
21 Cal.App.3d 931, 123 Cal.Rptr. 816 (1975) (holding that arraignment is complete when the court  
22 asks the defendant whether he pleads guilty or not guilty, rejecting decisions holding that  
23 arraignment is complete upon entry of plea).

24 The cases on which Plaintiffs rely do not shed any light on whether the initial appearance

25 \_\_\_\_\_  
26 <sup>5</sup> Plaintiffs implicitly contend that the question of whether a proceeding in California is a critical stage for the  
27 purposes of the Sixth Amendment is a question of state law. They are incorrect. Federal principles govern the inquiry  
28 concerning whether a state procedure is a critical stage. *See, e.g., Musladin*, 555 F.3d at 839-43; *Benford*, 574 F.3d at  
1232 n.2 (noting that *Musladin* applied a more general formulation of the three-part test applied in the Ninth Circuit,  
but stating that *Musladin* did not amount to a departure from that test). Of course, the analysis is specific to the state  
procedure.

1 in the present case, which did not include the entirety of the arraignment, was a critical stage of the  
2 proceedings. *See Cox*, 193 Cal.App.3d at 1440, 239 Cal.Rptr. 40 (at the arraignment the criminal  
3 defendant waived the reading of the information and the right to a speedy trial and entered a plea  
4 of not guilty to all counts); *In re Johnson*, 62 Cal.2d 325, 328-29, 42 Cal.Rptr. 228, 398 P.2d 420  
5 (1965) (criminal defendant entered guilty plea at arraignment without counsel and was sentenced  
6 immediately after the other matters on the court's calendar for the day had been attended to);  
7 *Ingram v. Justice Court*, 69 Cal.2d 832, 835, 838, 73 Cal.Rptr. 410, 447 P.2d 650 (1968) (holding  
8 that the public defender's determination that a person is indigent is not subject to judicial review  
9 where trial court refused to allow the public defender to represent the petitioner seeking to set  
10 aside an eight-year-old conviction on the ground; stating in dicta that in the hypothetical situation  
11 where a defendant seeks out the public defender for assistance prior to his first court appearance  
12 the period preceding arraignment is a stage that is often of critical importance); *People v. Viray*,  
13 134 Cal.App.4th 1186, 1190, 36 Cal.Rptr.3d 693 (2005) (interrogation by prosecutor on the  
14 morning of criminal defendant's arraignment violated the Sixth Amendment right to counsel but  
15 was insufficient to justify reversal); *Phillips v. Seely*, 43 Cal.App.4th 104, 117 Cal.Rptr. 863  
16 (1974) (no critical stage analysis); *People v. Ferry*, 237 Cal.App.2d 880, 887, 890, 47 Cal.Rptr.  
17 324 (1965) (holding (1) that defendant was indigent and entitled to representation by the public  
18 defender; (2) that the public defender's duty to provide counsel ceases when defendant retains  
19 private counsel; and (3) failing to find that the defendant was unrepresented at any critical stage);  
20 *In re Smiley*, 66 Cal.2d 606, 615-16, 625, 58 Cal.Rptr. 579, 427 P.2d 179 (1967) (criminal  
21 defendant was indigent at the time of trial, was not advised he could have an attorney appointed by  
22 the court, and had not waived the right to counsel such that his constitutional right to counsel was  
23 violated; stating that if the defendant is unrepresented at the time of arraignment the court must  
24 ask him if he desires the aid of counsel and if he desires and is unable to employ counsel, the court  
25 must assign counsel to defend him); *People v. Cummings*, 255 Cal.App.2d 341, 343, 346-47, 62  
26 Cal.Rptr. 859 (1967) (criminal defendant was represented himself at arraignment, the preliminary  
27 hearing, and trial; reversing conviction because there was no effective waiver of the right to  
28 counsel); *People v. Pettingill*, 21 Cal.3d 231, 145 Cal.Rptr. 861, 578 P.2d 108 (1978) (criminal

1 defendant was detained for prolonged custodial interrogation prior to arraignment); *In re Brindle*,  
 2 91 Cal.App.3d 660, 670 154 Cal.Rptr. 563 (1979) (trial court properly granted the public defender  
 3 the right to access the criminal defendants who were inmates in the California Men's Colony);  
 4 *People v. Carlon*, 161 Cal.App.3d 1193, 1196, 1196 n.2, 208 Cal.Rptr. 18 (1984) (holding that  
 5 refusal to appoint counsel at arraignment was error, but harmless error, where, at arraignment,  
 6 upon the request for counsel, the court informed the criminal defendant that the public defender  
 7 did not have anyone to staff the court and therefore asked him to enter a not guilty plea and set the  
 8 matter for pre-trial and jury trial); *People v. Howell*, 178 Cal.App.3d 268, 269-71, 223 Cal.Rptr.  
 9 818 (1986) (holding that an indigent accused who is provided counsel for the arraignment only  
 10 must be advised of and waive his right to appointed counsel at all subsequent stages of the  
 11 proceedings before the court may accept his guilty plea; criminal defendant entered guilty plea at  
 12 arraignment); *Ng v. Superior Court*, 4 Cal.4th 29, 36-37, 13 Cal.Rptr.2d 856, 840 P.2d 961 (1992)  
 13 (holding that the California Constitution, and related statutory provisions, do not require multiple  
 14 prompt arraignments where the criminal accused has been promptly arraigned in one county and is  
 15 being held there as a result of those charges). Thus, Plaintiffs have offered no authority for the  
 16 proposition that the initial appearance held in Contra Costa County was by itself a critical stage at  
 17 which counsel was required to be present.

18 Plaintiffs further argue that the Court cannot divide the arraignment into its constituent  
 19 portions and determine whether any constituent portion alone amounts to a non-critical stage.  
 20 However, the facts giving rise to this case are such that the arraignment was bifurcated.<sup>6</sup> Plaintiffs  
 21 were unrepresented at the initial portion but represented at the continued arraignment. To  
 22 determine whether there has been a violation of the Sixth Amendment based on a deprivation of  
 23 counsel at a critical stage, the Court must ascertain whether the only hearing at which Plaintiffs  
 24 were unrepresented was a critical stage. In addition, the Court must ascertain whether the waiting  
 25 period following the initial appearance was a critical stage.

---

26  
 27 <sup>6</sup> Plaintiffs argue that the Court must look to the jurisdictional practice, as opposed to the facts of an individual case, to  
 28 determine whether a given stage of the proceedings is critical. Yet Plaintiffs also allege that the practice throughout  
 the jurisdiction of Contra Costa County in the relevant time period was to divide the arraignment in this manner.

c. **Appointment of Counsel a Reasonable Time After Attachment Where Counsel Was Present at All Subsequent Critical Stages**

In *Rothgery*, the Supreme Court stated that “counsel must be appointed within a reasonable time after attachment to allow for adequate representation at any critical stage before trial, as well as at trial itself.” *Rothgery*, 554 U.S. at 212, 128 S.Ct. 2578, 171 L.Ed.2d 366. *Rothgery* did not discuss a framework for conducting the analysis into what a reasonable time would be. *Id.* at 212 n.15 (“We do not here purport to set out the scope of an individual’s postattachment right to the presence of counsel. It is enough for present purposes to highlight that the enquiry into that right is a different one from the attachment analysis”).

After *Rothgery*, federal district courts have three times addressed the issue of whether a delay in the appointment of counsel is reasonable. In all three cases, the district court has declined to find the delay – forty days, two months, and an unspecified period – unreasonable without proof of actual prejudice:

Finally, although the right to counsel under the Sixth Amendment attaches at the time of an arrestee’s initial appearance, *Rothgery v. Gillespie County, Texas*, 554 U.S. 191, 128 S.Ct. 2578, 171 L.Ed.2d 366 (2008), neither the Supreme Court nor the Fifth Circuit has determined that counsel must be appointed within a specific period of time thereafter. ... All that the plaintiff has alleged in this case is that he was not provided with appointed counsel for a period of forty (40) days after his arrest. He fails to allege, however, that he suffered any actual prejudice as a result of this delay or that, had an attorney been appointed at an earlier time, a meritorious defense might have been asserted resulting in his release or in the dismissal of the charges levied against him. ... Accordingly, in the absence of any assertion of prejudice resulting from the alleged delay, this Court concludes that the alleged 40-day delay in the appointment of counsel was not so unreasonable as to result in a Sixth Amendment violation.

*Grogen v. Gautreaux*, 2012 U.S. Dist. LEXIS 120411, \*9-\*10 (M.D. La. July 11, 2012); *see also Hawkins v. Montague County*, 2010 U.S. Dist. LEXIS 116361, \*35 (N.D. Tex. Nov. 1, 2010) (“The Court finds that the approximate two-month delay in receiving court-appointed counsel fails to rise to the level of a constitutional violation based on the Sixth Amendment”); *Wingo v. Kaufman County*, 2010 U.S. Dist. LEXIS 55865, \*2-\*3 (N.D. Tex. June 4, 2010) (“The court can

1 not determine from plaintiff's complaint ... whether the delay in appointment of counsel was  
 2 reasonable or whether plaintiff suffered any prejudice from the delay"). These decisions are  
 3 consistent with the Supreme Court's reference to ineffective assistance of counsel in *Rothgery*.  
 4 *See Gonzalez-Lopez*, 548 U.S. at 147, 126 S.Ct. 2557, 165 L.Ed.2d 409 (distinguishing the right to  
 5 effective assistance of counsel from the case the Court was addressing because "a violation of the  
 6 Sixth Amendment right to *effective* representation is not 'complete' until the defendant is  
 7 prejudiced"); *Strickland v. Washington*, 466 U.S. 668, 692, 104 S.Ct. 2052, 80 L.Ed.2d 674 (1984)  
 8 ("any deficiencies in counsel's performance must be prejudicial to the defense in order to  
 9 constitute ineffective assistance under the Constitution").

## 10 **2. Application to Facts**

### 11 **a. Attachment**

12 Following *Rothgery*, the Court again concludes, on the basis of the facts alleged, that that  
 13 the right to counsel attached at the initial appearance. The parties earlier agreed on this point. *See*  
 14 Order, 13. At the initial appearance, the court asked each Plaintiff whether he could afford  
 15 counsel. SAC, ¶¶ 30, 39. Each responded that he could not and would like the court to appoint  
 16 counsel. *Id.* After the right to counsel attached, the court referred Plaintiffs cases to Defendant  
 17 and continued the arraignment to a later hearing date for "further arraignment." *Id.* Neither  
 18 Plaintiff entered a plea. *Id.* Neither Plaintiff was represented until the further arraignment  
 19 hearing, where each Plaintiff was represented by counsel and entered a plea. *Id.* at ¶¶ 32-33, 44.

### 20 **b. Critical Stage**

#### 21 **i. Initial Appearance**

22 In their Complaint, Plaintiffs alleged that the initial appearance consisted of (1) the court's  
 23 inquiry into whether Plaintiffs could afford counsel and whether Plaintiffs desired appointed  
 24 counsel; (2) referral of the matter to the Public Defender; and (3) a continuance. Complaint, ¶¶  
 25 31, 35. In their SAC, Plaintiffs add the new allegation that bail is set at the initial appearance.  
 26 SAC, ¶¶ 30, 39. Plaintiffs do not provide any detail into the manner in which bail is set at the  
 27 initial appearance. For example, they have not alleged whether facts are admitted or argument is  
 28 made as to bail at the initial appearance or whether bail is simply set pursuant to a previously set

1 bail schedule. The Court again concludes that, on the facts alleged, the Sixth Amendment  
2 permitted the events that actually transpired at the initial appearance to take place without the  
3 presence of counsel, and permits a continuance for the appointment of counsel.

4 *Lopez-Valenzuela* is instructive. In the relevant portion of that opinion, the Ninth Circuit  
5 addressed whether the Sixth Amendment right to counsel was triggered by initial appearances in  
6 Arizona. *Lopez-Valenzuela*, 2013 WL 2995220, at \*10-\*11. At the initial appearance in Arizona  
7 no plea is entered. *Id.* at \*10. At the initial appearance, the commissioner must: ascertain the  
8 criminal defendant's name and address; inform the defendant of the charges, the right to counsel,  
9 and the right to remain silent; determine whether probable cause exists to believe that a crime was  
10 committed (if the arrest was made without a warrant); appoint counsel if the defendant is eligible;  
11 and determine release conditions, including an immigration status determination that can result in  
12 certain felony defendants being precluded from obtaining bail. *Id.* at \*1-\*2, \*10. Although the  
13 bail determination was made at the initial appearance, any party can move for a reexamination of  
14 the release conditions. *Id.* at \*2. Pursuant to Arizona law, a hearing on such a motion shall be  
15 held as soon as practicable but not later than seven days after filing. *Id.* In addition, if the court  
16 determines that the arrestee "entered or remained in the United States illegally," an evidentiary  
17 hearing, known as a *Simpson/Segura* hearing, is held within twenty-four hours to determine  
18 whether bail should be denied. *Id.* at \*1. Arrestees are entitled to counsel at the *Simpson/Segura*  
19 hearing. *Id.*

20 In *Lopez-Valenzuela*, the Ninth Circuit concluded that the Sixth Amendment right to  
21 counsel was not triggered by the initial appearance in Arizona after applying the *Menefield* three-  
22 factor test. As to the first factor, the court reasoned that the "only strategies available to the  
23 defendant seeking to avoid pretrial detention were to deny the crime(s) alleged or that the  
24 defendant has entered or remained in the United States illegally. But, as no plea is entered at an  
25 [initial appearance] and the 'initial appearance provides no opportunity for a defendant to present  
26 evidence or make any argument regarding the law or evidence,' [citation], these are not remedies  
27 available at the initial appearance. Rather, these are remedies available after the initial appearance  
28 at a *Simpson/Segura* hearing, by which point counsel will have been appointed." *Id.* at \*11. With

1 regard to the second factor, the court held that skilled counsel was unnecessary to help an accused  
2 understand the purely administrative matters covered during the initial appearance. *Id.* Reaching  
3 the third factor, the court concluded that the initial appearance did not test the merits of the  
4 defendant's case, noting that no plea is entered and any discussion of immigration status is  
5 undertaken for the sole purpose of determining whether a defendant is bondable. *Id.*

6 Plaintiffs' argument is that the initial appearance was a critical stage because, consistent  
7 with California law, it should have been a complete arraignment. *See* Opposition, 5-14 (arguing  
8 that arraignment in California is a critical stage and that the Court improperly excised the portions  
9 held at the initial appearance in undertaking its analysis and applied the wrong legal standard to  
10 the excised portions, not putting forward any argument that the proceedings held at the initial  
11 appearance made it a critical stage of the proceedings); *see also* Plaintiffs' Supplemental, 4-8. As  
12 discussed in more detail later in this Order, California law does not require that the entire  
13 arraignment be completed at the initial appearance. Plaintiffs' reliance on the entirety of the  
14 arraignment to establish a critical stage in effect concedes that nothing happened at the initial  
15 appearance to make it a critical stage of the proceedings.<sup>7</sup> Moreover, Plaintiffs' supplemental  
16 brief appears to concede that the procedures at issue in this case would be proper if they had been  
17 enacted by the California legislature. *See* Plaintiffs' Supplemental, 5, 9 (distinguishing *Lopez-*  
18 *Valenzuela* from the present case because the procedure at issue in that case was enacted by the  
19 Arizona legislature, not the Public Defender). If that is true, then there can be no Sixth  
20 Amendment violation.

21 Even if California law requires the entire arraignment occur at the initial appearance, the  
22 SAC in this case does not allege that the complete arraignment occurred. As in *Lopez-Valenzuela*,  
23 the question before the Court here is whether the initial appearance held in Contra Costa County in  
24 each of Plaintiffs' criminal cases was a critical stage of the proceedings such that they were  
25 entitled to counsel under the Sixth Amendment. Plaintiffs have been given one opportunity to  
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27 <sup>7</sup> At most, Plaintiffs argue that the Court applied the wrong standard in evaluating the initial appearance in its prior  
28 Order. Opposition, 11-14. However, Plaintiffs do not assert any basis for concluding that the initial appearance in  
itself was a critical stage.



1 amend their Complaint to allege sufficiently that their initial appearances amounted to a critical  
2 stage within the meaning of *Menefield*. They have not done so. Like in *Lopez-Valenzuela*: (1) as  
3 discussed in more detail in this Court's prior Order, Plaintiffs have not alleged that the absence of  
4 counsel to pursue strategies at the initial appearance could result in the loss of significant rights  
5 because, as alleged, no rights can be lost at the initial appearance; (2) as alleged there was no legal  
6 confrontation in the initial appearance after the accused requested counsel; and (3) nothing  
7 occurred at the initial appearance that tested the merits of the accused's case. *See Lopez-*  
8 *Valenzuela*, \_\_\_ F.3d \_\_\_, 2013 WL 299520, at \*10-\*11; Order, 16-18.

9 Indeed, the initial appearance in *Lopez-Valenzuela* was more substantial than the initial  
10 appearance in this case. In *Lopez-Valenzuela*, like here, the defendant was advised of the charges,  
11 offered counsel, and a bail determination was made. Unlike the procedure in California, however,  
12 the Arizona procedure required the Commissioner to make a probable cause determination.  
13 Nonetheless, the Ninth Circuit decided that the initial appearance in Arizona was not a critical  
14 stage. In Plaintiffs' initial appearances, where only advice of the charges and the right to counsel,  
15 and the setting of bail, occurred, that same conclusion is required.

16 This conclusion is buttressed by the practical implications of Plaintiffs' argument. If  
17 Plaintiffs are correct, the Sixth Amendment would put the state in an impossible position:  
18 Counsel must be present, whether available or not, and a continuance for appointment of counsel  
19 (as happened here) would not remedy the constitutional violation. One can readily envision the  
20 situation where the Public Defender has a conflict of interest, and conflict counsel is not available  
21 for a period of time. Plaintiffs' argument would call this a deprivation of a defendant's Sixth  
22 Amendment rights that could not be remedied. The Constitution imposes no such restriction.

23 For the above reasons, Plaintiffs' Sixth Amendment claim is dismissed with prejudice to  
24 the extent it relies on the theory that the initial appearance was a critical stage of the proceedings.

## 25 ii. Waiting Period

26 The Sixth Amendment may be violated during the waiting period either because (1) the  
27 waiting period contained, or was itself, a critical stage; or (2) the failure to appoint counsel at  
28 some point during the waiting period prevented Plaintiffs from being adequately represented at a

1 subsequent critical stage. First, the Court concludes, on the facts alleged, that the waiting period  
2 did not contain, and was not, a critical stage.

3 In their Complaint, Plaintiffs did not allege any activity during the waiting period that  
4 could have made that time frame a critical stage. Now, Plaintiffs allege (1) that the Probation  
5 Department prepared a bail study during the waiting period; (2) that the bail study was an  
6 influential report; and (3) that the bail study contained no favorable information because the  
7 Probation Department could not ascertain that information in the absence of appointed counsel.  
8 SAC, ¶¶ 31, 40.

9 The Court applies the three *Menefield* factors to the new allegations regarding the waiting  
10 period. Plaintiffs still have not alleged that there was any legal confrontation during the waiting  
11 period or that anything occurred to test the merits of their case during the waiting period. Rather,  
12 the bail study allegations pertain to whether failure to pursue strategies or remedies could result in  
13 the loss of significant rights. However, Plaintiffs do not allege that they could lose their ability to  
14 present favorable evidence at a bail determination. Accordingly, on the facts alleged, the Court  
15 cannot conclude that the preparation of the bail study in Contra Costa County is a critical stage in  
16 the proceedings.

17 Second, Plaintiffs have not alleged that the failure to appoint counsel during the waiting  
18 period prejudiced their representation at subsequent critical stages. Regarding Farrow, the SAC  
19 contains only the general statement that the prosecutor's office had more time to prepare for the  
20 preliminary hearing than defense counsel. *See* SAC, ¶ 34. Plaintiffs make no allegation that the  
21 disparity in preparation time impacted Farrow's defense in any way. Thus, there is nothing in the  
22 SAC from which to conclude that counsel was not appointed a reasonable time after attachment.  
23 *See Rothgery*, 554 U.S. at 212, 128 S.Ct. 2578, 171 L.Ed.2d 366 ("counsel must be appointed  
24 within a reasonable time after attachment to allow for adequate representation at any critical stage  
25 before trial, as well as at trial itself").

26 The allegations pertaining to Wade come closer to alleging that counsel was not appointed  
27 at a reasonable time after attachment. Plaintiffs allege (1) that the delay in appointment of counsel  
28 impinged Wade's ability to interview a material witness on an important *Miranda* issue in his case

1 because her memory faded; and (2) allowed the prosecution to amend its complaint as of right.  
2 SAC, ¶¶ 43, 45. Plaintiffs allege that this was prejudicial to Wade because (1) certain facts that  
3 the witness may have remembered pertaining to a potential *Miranda* violation may have been  
4 helpful to his defense; and (2) the amended complaint increased his potential exposure. *Id.*

5 First, as to Wade’s inability to interview a material witness, Plaintiffs still have not alleged,  
6 for example, how that inability affected, or could have affected, any subsequent proceedings in  
7 Wade’s case. On these allegations, there is no basis to conclude that the delay in the appointment  
8 of counsel impacted Wade’s representation at subsequent critical stages of his proceedings.  
9 Moreover, Plaintiffs only allege that it is “possible” that the witness would have remembered the  
10 relevant details of Wade’s interrogation had appointment of counsel not been delayed. Plaintiffs  
11 do not plead that such a scenario is plausible, nor do they provide any factual allegations to  
12 support that possibility. For example, counsel interviewed the witness in question seven days after  
13 appointment at the continued arraignment. There are no allegations that counsel would have  
14 interviewed the witness earlier, or that the witness, who could not remember whether *Miranda*  
15 warnings were given when interviewed twenty days after the arrest, would have remembered them  
16 a week earlier. Though this scenario seems implausible to the Court, if Wade is in possession of  
17 any such facts he may plead them. He must also plead the impact of this evidence on the progress  
18 of his case, i.e. prejudice.

19 Second, the allegations pertaining to the prosecution’s ability to amend its complaint as of  
20 right also fail because Plaintiffs have not alleged any impact this had on any later proceedings.  
21 Moreover, Plaintiffs have not, and cannot, plausibly alleged that the prosecution would not have  
22 been able to amend the complaint to increase Wade’s exposure even if he had entered a plea at the  
23 initial appearance.

24 Wade will be given one more opportunity to allege that counsel was not “appointed within  
25 a reasonable time after attachment to allow for adequate representation at any critical stage before  
26 trial, as well as at trial itself.” *See Rothgery*, 554 U.S. at 212, 128 S.Ct. 2578, 171 L.Ed.2d 366.  
27 Leave to amend shall be limited to additional facts (1) concerning the witness’ faded memory; and  
28 (2) any impact the witness’ faded memory had on Wade’s proceedings. As to Farrow, this claim

1 is dismissed with prejudice.

2 **D. Fourteenth Amendment Due Process as to State Speedy Trial Statutes**

3 Plaintiffs' Fourteenth Amendment Due Process claims predicated on violations of  
4 California Penal Code §§ 825, 859b, and 1050 are dismissed with prejudice.

5 **a. Background Law**

6 "Unless there is a breach of constitutional rights, ... § 1983 does not provide redress in  
7 federal court for violations of state law." *Samson v. City of Bainbridge Island*, 683 F.3d 1051,  
8 1060 (9th Cir. 2012) (quoting *Schlette v. Burdick*, 633 F.2d 920, 922 n.3 (9th Cir. 1980)). "[N]ot  
9 every violation of state law amounts to an infringement of constitutional rights." *Id.*

10 Plaintiffs seek to assert their statutory speedy trial rights, provided by the state of  
11 California, through the Fourteenth Amendment. The Fourteenth Amendment provides that no  
12 state shall "deprive any person of life, liberty, or property without due process of law..." U.S.  
13 Const. amend. XIV, § 1. The Fourteenth Amendment contains both procedural and substantive  
14 due process protections. *United States v. Salerno*, 481 U.S. 739, 746, 107 S.Ct. 2095, 95 L.Ed.2d  
15 697 (1987).

16 **i. Procedural Due Process**

17 Courts analyze procedural due process claims in two steps: "[T]he first asks whether there  
18 exists a liberty or property interest which has been interfered with by the State; the second  
19 examines whether the procedures attendant upon that deprivation were constitutionally sufficient."  
20 *Carver v. Lehman*, 558 F.3d 869, 872 (9th Cir. 2008), *cert. denied*, \_\_\_ U.S. \_\_\_, 130 S.Ct. 466, 175  
21 L.Ed.2d 313 (2009) (quoting *Ky. Dep't of Corr. v. Thompson*, 490 U.S. 454, 460, 109 S.Ct. 1904,  
22 104 L.Ed.2d 506 (1989)).

23 "A liberty interest may arise from either of two sources: the due process clause itself or  
24 state law." *Id.* (citing *Toussaint v. McCarthy*, 801 F.2d 1080, 1089 (9th Cir. 1986)). "[T]o create  
25 a liberty interest protected by due process, the state law must contain: (1) substantive predicates  
26 governing official decisionmaking, and (2) explicitly mandatory language specifying the outcome  
27 that must be reached if the substantive predicates are met." *Bonin v. Calderon*, 59 F.3d 815, 842  
28 (9th Cir. 1995), *cert. denied*, 516 U.S. 1051, 116 S.Ct. 718, 133 L.Ed.2d 671 (1996) (internal

1 quotation marks and citations omitted); *Oviatt*, 954 F.2d at 1474. Where “[t]he only mandatory  
 2 language in [the state statute at issue] concerns a procedural right ...[, t]hat language cannot create  
 3 a liberty interest within the meaning of the Fourteenth Amendment because expectation of  
 4 receiving process is not, without more, a liberty interest protected by the Due Process Clause of  
 5 the Fourteenth Amendment.” *Carver*, 558 F.3d at 875 (internal quotation marks, footnote, italics,  
 6 and citations omitted); *see also Marsh v. County of San Diego*, 680 F.3d 1148, 1156 (9th Cir.  
 7 2012) (“to contain the requisite ‘substantive predicates,’ ‘the state law at issue must provide more  
 8 than merely procedure, it must protect some substantive end’”) (quoting *Bonin*, 59 F.3d at 842).

9 **ii. Substantive Due Process**

10 Substantive due process limits what the government may do in its legislative and executive  
 11 capacities. *County of Sacramento v. Lewis*, 523 U.S. 833, 846, 118 S.Ct. 1708, 140 L.Ed.2d 1043  
 12 (1998). Substantive due process “forbids the government from depriving a person of life, liberty,  
 13 or property in such a way that ‘shocks the conscience’ or ‘interferes with the rights implicit in the  
 14 concept of ordered liberty.’” *Corales v. Bennett*, 567 F.3d 554, 568 (9th Cir. 2009) (quoting  
 15 *Nunez v. City of Los Angeles*, 147 F.3d 867, 871 (9th Cir. 1998)). Accordingly, “A substantive  
 16 due process claim ‘must, as a threshold matter, show a government deprivation of life, liberty, or  
 17 property.’” *Action Apartment Ass’n, Inc. v. Santa Monica Rent Control Bd.*, 509 F.3d 1020, 1026  
 18 (9th Cir. 2007) (quoting *Nunez*, 147 F.3d at 871).

19 **iii. Statutory Speedy Trial Rights**

20 California Penal Code §§ 859b, 1382, and 1050, governing the right to a speedy  
 21 preliminary hearing, the right to a speedy trial, and the procedure for granting continuances,  
 22 including a continuance of the preliminary hearing, are set forth in this Court’s prior Order. *See*  
 23 Order, 24-26. In the SAC, Plaintiffs also assert a denial of their right to a prompt arraignment  
 24 pursuant to California Penal Code § 825. SAC, ¶ 10.

25 California Penal Code § 859 reads:

26 When a defendant is charged with the commission of a felony by written complaint  
 27 subscribed under oath and on file in a court in which a felony is triable, he or she shall,  
 28 without unnecessary delay, be taken before a magistrate of the court in which the  
 complaint is on file. The magistrate shall immediately deliver to the defendant a copy of

1 the complaint, inform the defendant that he or she has the right to have the assistance of  
 2 counsel, ask the defendant if he or she desires the assistance of counsel, and allow the  
 3 defendant reasonable time to send for counsel. . . . If the defendant desires and is unable to  
 4 employ counsel, the court shall assign counsel to defend him or her. . . . If it appears that  
 5 the defendant may be a minor, the magistrate shall ascertain whether that is the case, and if  
 the magistrate concludes that it is probable that the defendant is a minor, he or she shall  
 immediately either notify the parent or guardian of the minor . . . of the arrest, or appoint  
 counsel to represent the minor.

6 Cal. Penal Code § 859. In *Ng*, the California Supreme Court noted that § 859 has been described  
 7 as “in pari materia” with § 859b, and that § 859b applies only to persons in custody. *Ng*, 4 Cal.4th  
 8 at 38, 13 Cal.Rptr.2d 856, 840 P.2d 961. The court drew on that note as additional support for its  
 9 holding that a defendant in custody for charges in one county need not be immediately arraigned  
 10 in other counties. *Id.*

11 California Penal Code § 849(a) states:

12 When an arrest is made without a warrant by a peace officer or private person, the person  
 13 arrested, if not otherwise released, shall, without unnecessary delay, be taken before the  
 14 nearest or most accessible magistrate in the county in which the offense is triable, and a  
 15 complaint stating the charge against the arrested person shall be laid before such  
 magistrate.

16 Cal. Penal Code § 849.

17 California Penal Code § 825(a) provides:

18 (a)(1) Except as provided in paragraph (2), the defendant shall in all cases be taken before  
 19 a magistrate without unnecessary delay, and, in any event, within 48 hours after his or her  
 arrest, excluding Sundays and holidays.

20 (2) When the 48 hours prescribed by paragraph (1) expire at a time when the court in  
 21 which the magistrate is sitting is not in session, that time shall be extended to include the  
 22 duration of the next court session on the judicial day immediately following. If the 48-  
 23 hour period expires at a time when the court in which the magistrate is sitting is in session,  
 the arraignment may take place at any time during that session. However, when the  
 24 defendant’s arrest occurs on a Wednesday after the conclusion of the day’s court session,  
 and if the Wednesday is not a court holiday, the defendant shall be taken before the  
 25 magistrate not later than the following Friday, if the Friday is not a court holiday.

26 Cal. Penal Code § 825(a).

27 **b. Application to Facts**

28 In its prior Order, the Court dismissed Plaintiffs’ constitutional claim predicated on the

1 violation of their statutory speedy trial rights provided by California Penal Code §§ 859b, 1382,  
2 1049.5, and 1050. In their SAC, Plaintiffs reassert liability under §§ 859b and 1050. SAC, ¶ 10.  
3 Plaintiffs also add a theory of liability pursuant to § 825. As in the previous Order, the Court  
4 concludes that Plaintiffs' arraignments were complete when they were asked to enter a plea at the  
5 further arraignment hearing. Order, 26. Thus, for the same reasons discussed in the previous  
6 Order, the Court again concludes that Plaintiffs have not alleged a violation of either § 859b or §  
7 1050. *See id.* at 27-28. The Court does not address those issues again here.

8 Defendant makes two arguments for dismissing Plaintiffs' second and third causes of  
9 action to the extent they are premised on § 825. First, that Defendant did not violate § 825  
10 because the section does not require that arraignment be completed at the initial appearance.  
11 Motion, 10; Reply, 9. Second, that § 825 lacks mandatory language specifying the outcome that  
12 must be reached if the substantive predicates are met to create a cognizable liberty interest.  
13 Motion, 10; Reply, 9. Plaintiffs fail to defend their § 825 theory in their Opposition, making no  
14 mention of § 825. For that reason alone, the second and third causes of action predicated on a  
15 violation of § 825 are dismissed without leave to amend. Moreover, even if § 825 creates a  
16 cognizable liberty interest, the plain language of the statute mandates only that the criminal  
17 accused be "taken before the magistrate" within the prescribed time limits.<sup>8</sup> Nothing in the statute  
18 prohibits continuance of the arraignment by the court to allow for appointment of counsel.<sup>9</sup>

### 19 **E. Fourteenth Amendment Equal Protection**

20 For the reasons set out below, Plaintiffs' equal protection claim is dismissed with  
21 prejudice.

#### 22 **1. Background Law**

23 The Equal Protection Clause provides that no state shall "deny any person within its  
24 jurisdiction the equal protection of the law." U.S. Const. Amendment XIV, § 1. The Supreme  
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26 <sup>8</sup> The alleged "blatant violation of Penal Code section 825" visited on Wade through his detention prior to his initial  
27 court appearance is irrelevant to this section because Plaintiffs do not allege that Defendant had anything to do with  
28 that violation. *See* SAC, ¶ 37.

<sup>9</sup> To the extent § 1050 may have been implicated by the continuance, that "section is directory only and does not  
mandate dismissal of an action by its terms." Cal. Penal Code § 1050(l).

1 Court has noted that the Equal Protection Clause “is basically a direction that all persons similarly  
2 situated should be treated alike.” *City of Cleburne v. Cleburne Living Ctr.*, 473 U.S. 432, 439, 105  
3 S.Ct. 3249, 87 L.Ed.2d 313 (1985).

4 A plaintiff may allege an equal protection violation one of several ways. First, a plaintiff  
5 can demonstrate that the defendant intentionally discriminated on the basis of plaintiff’s  
6 membership in a protected class. *See, e.g., Lee v. City of Los Angeles*, 250 F.3d 668, 686 (9th Cir.  
7 2001). Under this theory, a plaintiff must show that the defendant’s actions were a result of the  
8 plaintiff’s membership in a suspect class. *Thornton v. City of St. Helens*, 425 F.3d 1158, 1167 (9th  
9 Cir. 2005). Such actions are subjected to “strict scrutiny” and “will only be sustained if they are  
10 suitably tailored to serve a compelling state interest.” *City of Cleburne*, 473 U.S. at 439, 105 S.Ct.  
11 3249, 87 L.Ed.2d 313. Similar oversight is applied where state action “impinges on personal  
12 rights,” otherwise framed as “fundamental rights,” protected by the Constitution. *Id.*

13 If the action does not involve a suspect classification, a plaintiff may establish an equal  
14 protection claim by showing that similarly situated individuals were intentionally treated  
15 differently without a rational relationship to a legitimate state purpose. *Village of Willowbrook v.*  
16 *Olech*, 528 U.S. 562, 564, 120 S.Ct. 1073, 145 L.Ed.2d 1060 (2000); *see San Antonio School*  
17 *District v. Rodriguez*, 411 U.S. 1, 93 S.Ct. 1278, 36 L.Ed.2d 16 (1972); *Squaw Valley*  
18 *Development Co. v. Goldberg*, 375 F.3d 936, 944 (9th Cir. 2004) *overruled on other grounds*  
19 *Action Apt. Ass’n v. Santa Monica Rent Control Bd.*, 509 F.3d 1020, 1025 (9th Cir. 2007);  
20 *SeaRiver Mar. Fin. Holdings, Inc. v. Mineta*, 309 F.3d 662, 679 (9th Cir. 2002). To state an equal  
21 protection claim under this theory, a plaintiff must allege that: (1) the plaintiff is a member of an  
22 identifiable class; (2) the plaintiff was intentionally treated differently from others similarly  
23 situated; and (3) there is no rational basis for the difference in treatment. *Village of Willowbrook*,  
24 528 U.S. at 564, 120 S.Ct. 1073, 145 L.Ed.2d 1060.

25 Several Supreme Court decisions have recognized violations of the Equal Protection  
26 Clause where the state provides mandatory criminal procedures, such as an appeal as of right, but  
27 in effect makes those procedures available only to those who can pay. *See Evitts v. Lucey*, 469  
28 U.S. 387, 403-05, 105 S.Ct. 830, 83 L.Ed.2d 281 (1985) (interpreting Supreme Court precedent as



1 involving equal protection concerns “because the State treated a class of defendants-indigent ones-  
 2 differently for the purposes of offering them a meaningful appeal”) (citing *Griffin v. Illinois*, 351  
 3 U.S. 12, 17-18, 76 S.Ct. 585, 100 L.Ed. 891 (1956) (where the state in effect dismissed the  
 4 petitioner’s appeal, which was taken as of right, because he could not afford a transcript it made  
 5 the right available only to the wealthy in violation of equal protection principles and also violated  
 6 due process because the disposition of the appeal was arbitrary); *Douglas v. California*, 372 U.S.  
 7 353, 357-58, 83 S.Ct. 814, 9 L.Ed.2d 811 (1963) (procedure whereby indigent defendant must  
 8 demonstrate merit of case before obtaining counsel on appeal “does not comport with fair  
 9 procedure” – “[t]here is lacking that equality demanded by the Fourteenth Amendment where the  
 10 rich man, who appeals as of right, enjoys the benefit of counsel[], while the indigent, already  
 11 burdened by a preliminary determination that his case is without merit, is forced to shift for  
 12 himself”). As Plaintiffs point out, the California Supreme Court has indicated in dicta that  
 13 understaffing a public defender’s office may result in denying indigent defendants the equal  
 14 protection of the laws where the understaffing results in a violation of the defendants’ state speedy  
 15 trial rights. *See Barsamyan v. Appellate Div. of Superior Court*, 44 Cal.4th 960, 981-82, 81  
 16 Cal.Rptr.3d 265, 189 P.3d 271 (2008) (citing *People v. Johnson*, 26 Cal.3d 557, 571-72, 162  
 17 Cal.Rptr. 431, 606 P.2d 738 (1982) (stating, in dicta, that treating conflicts in the public defender’s  
 18 calendar as good cause for a delay extending the time for trial beyond that required by California  
 19 Penal Code § 1382 may result in denying indigent defendants the equal protection of the laws)).

## 20 2. Application to Facts

21 Plaintiffs allege that they were denied a prompt arraignment, their right to assistance of  
 22 counsel, and their statutory speedy trial rights on the basis of their indigence whereas similarly  
 23 situated criminal defendants who could afford private counsel were furnished prompt  
 24 arraignments, were permitted to enter pleas at their first appearance, were allowed to influence the  
 25 probation department with favorable information concerning bail circumstances in the days  
 26 following arraignment, were immediately able to apply for bail or release on their own  
 27 recognizance, were permitted to immediately assert their statutory speedy trial rights, and were  
 28 able to immediately begin preparation of their cases for future critical stages. SAC, ¶ 66.

1 Plaintiffs have not alleged that the Contra Costa County Public Defender, the only  
 2 Defendant in this case, treated any group or individual differently from any other group or  
 3 individual through the application of its policy prior to the filing of this case.<sup>10</sup> Indeed, the Contra  
 4 Costa Public Defender's Office only represents indigent defendants and capital defendants.<sup>11</sup>  
 5 Because, as alleged, Defendant had one blanket policy that applied equally to each of its clients  
 6 during the relevant time period, Plaintiffs have not adequately pled a violation of the Equal  
 7 Protection Clause by Defendant.

8 **F. Remaining State Law Claims**

9 Under state law, Plaintiffs seek damages for an alleged violation of the California Bane  
 10 Act and seek a writ of mandate to compel Defendant to comply with California Government Code  
 11 § 27706. *See* Cal. Civ. Code §§ 51 *et seq.*, 52, 52.1; Cal. Gov. Code § 27706. The Court has  
 12 jurisdiction over this action on the basis of the federal questions raised by the Complaint. *See* 28  
 13 U.S.C. § 1331. The Court's jurisdiction over the remaining state law claims relies on its  
 14 supplemental jurisdiction. *See* 28 U.S.C. § 1367(a). Having dismissed all claims over which it  
 15 has original jurisdiction, the court declines to exercise its supplemental jurisdiction over the state  
 16 law claims. *See* 28 U.S.C. § 1367(c)(3). Plaintiffs' fifth and sixth causes of action are dismissed  
 17 without prejudice for lack of subject matter jurisdiction.

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26 <sup>10</sup> In their SAC, Plaintiffs allege that Defendant now appears at the initial appearance to represent in-custody felony  
 27 defendants but continues to fail to do so with respect to in-custody misdemeanor defendants. SAC, ¶¶ 1-2. Plaintiffs  
 28 do not specify to which group they belong.

<sup>11</sup> Plaintiffs do not allege that the policy has ever made any distinction between capital defendants and non-capital  
 defendants.

United States District Court  
Northern District of California

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**IV. CONCLUSION**

For the foregoing reasons, Defendants Motion to Dismiss the SAC is granted. Farrow’s federal claims are dismissed with prejudice. The Court declines to exercise jurisdiction over Farrow’s state law claims, which are dismissed without prejudice and may not be reasserted in this case. Wade will be given one more opportunity to amend his § 1983/Sixth Amendment claim, as permitted in the body of this Order, and to reassert the same state law claims found in the SAC. Any amended complaint shall be filed within twenty-one (21) days of this Order.

IT IS SO ORDERED.

Dated: August 7, 2013



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JOSEPH C. SPERO  
United States Magistrate Judge