

**UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF KENTUCKY
OWENSBORO DIVISION**

CIVIL ACTION NO. 4:03CV-00003-JHM

**EDWARD LEE SUTTON, Individually
and on behalf of all others similarly situated, et al.**

PLAINTIFFS

VS.

**HOPKINS COUNTY, KENTUCKY, and
JIM LANTRIP, Individually and in his
official capacity as Jailer of Hopkins County, Kentucky**

DEFENDANTS

ORDER

Upon motion of the parties, and the Court having considered the arguments of counsel and being otherwise sufficiently advised;

IT IS HEREBY ORDERED that the parties' motion is **GRANTED** and the Court preliminarily approves the Settlement Agreement attached to this Order as Exhibit A;

IT IS FURTHER ORDERED that notice of the settlement shall issue **no later than August 18, 2008.**

ENTERED this

Copies to: Counsel of Record

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF KENTUCKY
AT OWENSBORO

[Filed Electronically]

EDWARD LEE SUTTON, et al.,)	
)	
Plaintiffs)	
)	
vs.)	CIVIL ACTION NO. 4:03-CV-3-M
)	
HOPKINS COUNTY, KY, et al.,)	
)	
Defendants.)	

SETTLEMENT AGREEMENT

I. INTRODUCTION

The parties, by counsel, enter into this Settlement Agreement ("the Agreement"), which they will submit to the Court for its approval. The Agreement settles all claims of the "Entry" Class and "Release" Class certified by the Court, including, without limitation on the generality of the foregoing, claims of class representatives and absent class members who have not opted out, for all money damages, attorneys' fees, litigation expenses, and interest, by establishing a common fund of \$3 million, to be shared among participating class members after payment of attorneys' fees, litigation expenses, claims administration expenses, payment to class representatives, and payment to deposed class members, as provided in ¶¶ 30 and 31 below. Under this Agreement class members will receive one payment no matter how many times they were admitted into and/or searched while incarcerated at the Hopkins County Detention Center ("the Jail") during the class period.

II. **TERMS**

A. **Notice**

1. Notice of the settlement shall issue on or before August 18, 2008, both directly to the last known addresses of all potential class members and by publication, and in a form to be agreed upon by the parties, approved by the Court, and intended to maximize notice to the class and ease the filing of proofs of claim and administration of the settlement.

B. **Settlement Fund**

2. Defendants will provide a settlement fund of \$3 million to settle all claims of Plaintiffs and the classes, including claims for attorneys' fees and litigation expenses, costs of administering the settlement, and interest, if any.

3. The parties agree and acknowledge that Defendants have denied liability in whole, and that the payment and other agreements contained herein were made and were given without admission of liability which is expressly denied, and which payment and other agreements were made and given by Defendants solely for the purpose of avoiding the expense of litigation, attorneys' fees, and other costs. No language contained in this Agreement or in any orders issued by the Court approving or denying either preliminary or final approval to this Agreement shall in any way be construed as acknowledgments or admissions of liability, all of which are expressly denied by Defendants.

4. Defendants and their counsel agree to provide reasonable assistance to Plaintiffs' counsel and to the Claims Administrator to facilitate the administration of this settlement by providing access to data, information and documents necessary to determine the last known address and contact information for all potential class members. Any

information provided pursuant to such disclosure order shall be used only in connection with this litigation, and shall be kept confidential and not disclosed to anyone other than Plaintiffs' counsel and the Claims Administrator except as set forth in this Agreement.

5. Any request for such additional fees and costs shall be by application to the Court with opportunity for objection by Defendants. No such motion shall be allowed nor any additional fees or costs paid by the Defendants due to delays in the claims process for any reason, provided the Defendants have paid the \$3 million settlement proceeds set forth in ¶ 2 above to the Claims Administrator to establish the settlement fund in accordance with this Agreement. The payment of said amount shall fulfill and satisfy any and all financial obligations of the Defendants under this Agreement and no further fees or costs of any kind shall be paid by the Defendants.

6. Defendants shall maintain and control the settlement funds until such time as they are distributed to the Claims Administrator, who shall set up an interest bearing bank account or accounts at a bank within the Commonwealth of Kentucky, as may be appropriate, for payment of the qualifying individual claims which may be allowed under this Agreement. The Claims Administrator shall also post a bond and obtain appropriate insurance to guarantee the performance of the obligations required of the Claims Administrator under this Agreement and to pay for any claims of liability in connection with administration of the settlement fund. Such funds shall be distributed to qualified claimants by the Claims Administrator within 30 days of entry of the Court's Order resolving any appeals of disqualified claimants and dismissing this case.

III. COURT SUPERVISION

7. Defendants shall be obligated to perform their obligations under this Agreement upon the Court's entry of an order approving this Agreement after a fairness hearing pursuant to Fed. R. Civ. P. 23 (e). After entry of such order, this case shall remain pending during administration of the settlement. The Court may enter orders as appropriate to enforce this Agreement, to adjudicate the rights and responsibilities of the parties, to resolve appeals from Defendants and/or disqualified claimants, and to effect fair and orderly administration, as necessary, of the settlement fund. After distribution of all settlement proceeds and satisfaction and completion of all duties and responsibilities imposed by this Agreement, the Court shall enter an order of dismissal effecting the dismissal of all class claims against Defendants with prejudice, and incorporating the terms of this Agreement pursuant to *Kokkonen v. Guardian Life Ins. Co.*, 511 U.S. 375 (1994).

8. Any deadlines, dates, or other details in this Agreement may be amended only by agreement of the parties and after approval of the Court on a showing of good cause.

IV. FAIRNESS HEARING PURSUANT TO RULE 23 (e) FED. R. CIV. P.

9. A fairness hearing regarding this settlement will be conducted on October 20, 2008, beginning at 9:00 a.m., before the United States District Court in Owensboro, Kentucky. The deadline for filing claims shall be set for a date not less than 60 days following the fairness hearing and the Order approving this Agreement.

V. CLAIMS ADMINISTRATOR

10. Class counsel recommends that Analytics, Inc. be approved by the Court as Claims Administrator. Analytics, Inc. has substantial experience in processing claims filed by claimants in class action settlements similar in size and scope to the settlement in this action, and performing related administrative work and, if approved by the Court at the preliminary approval hearing, shall be retained by Plaintiffs' counsel under a contract requiring a bond or surety to Defendants for the settlement funds, with all payments being paid from the settlement fund. Counsel for the parties and the parties themselves shall have no responsibility or liability for administering the settlement.

11. The Claims Administrator will administer notice to class members in the manner prescribed by the Court; shall accept and docket all claim forms; shall send copies of all objections to the settlement to counsel for the parties on a rolling basis as soon as practicable after they are received; shall send copies of all claims forms to counsel for the parties on a rolling basis as soon as practicable after they are received, who shall have an opportunity to object; shall sustain or overrule any objections of Defendants to claims made, subject to further appeal to the Court; shall send all notices that a claim has been either accepted or rejected to class members; shall resolve any appeals of claim denials, subject to further appeal to the Court; shall calculate the amount of each claim pursuant to the formula set out in this Agreement; shall distribute settlement funds to approved claimants; and shall report to the Court as necessary including providing a final report.

12. Counsel for the parties will oversee settlement administration and will provide direction to the Claims Administrator as needed. In the event of any dispute as to whether any of the parties has failed to comply with any of the terms of this Agreement, the parties

shall contact the Court in an effort to resolve any such dispute prior to filing any motion seeking specific performance.

VI. NOTICE, OBJECTIONS, AND CLAIMS

13. The Claims Administrator will send a Notice of Certification of Class Action and Settlement and of the Fairness Hearing ("Notice of Settlement") and a Settlement Claim Form by first class mail postage prepaid to all potential class members at their last known addresses on or before August 18, 2008. The Notice of Settlement and Settlement Claim Form shall be in a form jointly agreed to by the parties and submitted to and approved by the Court. If any mail is returned by the post office with notice of a more current address, the Claims Administrator will resend the notice to that address. The Claims Administrator is not required to make any further efforts to send mail directly to class members. A Notice of Settlement and Settlement Claim Form will be made available to anyone who requests such information. Claim forms will be available on request through the Claims Administrator including through a toll-free number.

14. The Notice of Settlement will include a summary of the terms of the settlement and the class member's right to contest the settlement.

15. The Settlement Claim Form shall request the class member's contact information, affirmation that he meets the class definition and that all information on the claim form is true and accurate, instructions for check delivery, and a Form W-9. The claim form shall include an acknowledgement that the information set forth therein is true and correct to the best of the claimant's recollection, and is signed under penalty of perjury pursuant to 28 U.S.C. § 1746.

16. The Claims Administrator will also publish the Notice of Settlement ("Published Notice") in the *Madisonville Messenger*, the *Louisville Courier-Journal*, the *Providence Journal Enterprise*, the *Kentucky New Era*, the *Dawson Springs Progress*, the *Central City Leader News*, the *Owensboro Messenger Inquirer*, the *Sebree Banner*, the *Sturgis News*, the *Henderson The Gleaner*, and the *Paducah Sun*. The Published Notice shall be in a form jointly agreed to by the parties and submitted to and approved by the Court. Defendants agree to post the Published Notice in the admission area of the Jail. The notices will be posted contemporaneously with the mailing of the Notice of Settlement and publication of the Published Notice, and will remain posted until the last day that the settlement claim forms can be filed. In addition, Defendants have agreed to make available, during the same time frame defined in the prior sentence, to inmates (or anyone else) who requests it, a copy of the Notice of Settlement, and the cost of those copies shall be paid out of the settlement fund and shall be considered part of the cost of administering the settlement and shall not serve to increase Defendants' costs or liabilities under this Agreement.

17. The Claims Administrator will send a claim form to anyone who requests one before the time for filing claim forms has expired. The Claims Administrator will also maintain a toll-free number for claimants to call to request a claim form or with questions. This number will be operational for at least five months following Court approval of this Agreement.

18. In order for a class member to claim a share of the settlement, he/she must submit to the Claims Administrator a valid and complete Settlement Claim Form, postmarked no later than 60 days following the date of entry of the Court Order approving

this Agreement after the Fed. R. Civ. P. 23 (e) fairness hearing. This deadline is the "Claims Deadline." The Claims Administrator shall forward copies of the claims forms to Defendants' counsel as they are received, and Defendants' counsel shall have 60 days after the date they receive each claim form to file written objections to any individual claims with the Claims Administrator. This 60-day period for objecting may be extended by the Claims Administrator or by the Court for good cause shown. The Claims Administrator shall make a written decision as to any objection within five days of receipt thereof, after giving Plaintiffs' counsel three weeks to respond in writing to Defendants' objections, which can be extended by the Claims Administrator or the Court for good cause shown. The parties shall provide copies of all written objections and/or responses thereto to opposing counsel. Any appeal of such written decision of the Claims Administrator with which Plaintiffs or Defendants disagree must be filed with the Court within 15 days of the such party's receipt of the Claims Administrator's written decision.

19. In order for a class member to object to the proposed settlement, he/she must submit a written objection to the Claims Administrator, but such written objection must be postmarked not later than October 10, 2008, and must be received by the Claims Administrator prior to the fairness hearing, in order to be considered timely. The Claims Administrator shall provide copies of all objections to counsel for the parties as soon as practicable by mail and/or fax as may be necessary, so that all objections are provided to counsel for the parties prior to the fairness hearing. Alternatively, or in addition to filing a written objection, an objector may appear in person or through counsel at the fairness hearing when the objection will be considered by the Court. The objecting class member must also submit a Settlement Claim Form to the Claims Administrator if he wishes to be

eligible to share in the settlement should it be approved despite his objection.

VII. APPROVAL AND APPEALS

20. The Claims Administrator will review each claim form to determine whether the claimant is a qualifying class member by confirming: (a) that the Settlement Claim Form is timely and valid; (b) that the information on the form is consistent with available data previously provided by Defendants, and supplemented by Defendants in their objection, if any; and (c) that the form is completely filled out and signed.

21. The Claims Administrator may, with knowledge and written consent of Defendants, waive a technical defect in a claim form to achieve substantial justice.

22. If a claimant does not meet the class definition, the Claims Administrator will send a Notice of Claim Denial to the claimant. Notices of Claim Denial shall be sent promptly but in no event later than 90 days following the Claims Deadline. The denial notice will indicate the reason or reasons for the denial.

23. In order to object to the Claims Administrator's determination that he is not a class member, a claimant must file a written appeal to the Claims Administrator postmarked no later than 15 days after the date of the mailing of the Notice of Claim Denial. He must include reliable written documentation, which need not be an official record, that shows that he is a class member, and he may also include a sworn written statement subject to the penalty of perjury. The Claims Administrator will consult with counsel for the parties and make a determination on each appeal no later than 30 days after the deadline for class members to file such appeals. The Claims Administrator will inform the class member in writing of its determination, and provide copies to all counsel within 30 days of such determination. In order to object to the Claims Administrator's final determination that he is

not a class member, a claimant must file a written appeal with the Court postmarked no later than 15 days after the date of the mailing of the final Notice of Claim Denial. Rulings by the Claims Administrator may also be appealed by the parties directly to the Court within 15 days of the receipt of the notice from the Claims Administrator approving or denying the claim. There shall be no further right of appeal beyond review of the ruling of the Claims Administrator by the Court.

24. The Claims Administrator will send a Notice of Claim Approval (“Notice of Claim Approval”) to each approved claimant, absent objection, promptly but in no event later than 90 days after the Claims Deadline.

25. A claimant who used a different name upon admission to the Jail must present documentation establishing that he/she is the person referred to in Defendants’ records.

VIII. EXPENSES, ATTORNEYS’ FEES AND COSTS

26. All administrative costs, including the Claims Administrator’s fees and expenses, will be paid from the settlement funds.

27. Plaintiffs’ attorneys’ fees and litigation expenses will be paid from the settlement funds.

28. Class counsel will be paid only the actual attorney fees and litigation expenses incurred in this litigation, not to exceed \$1.5 million.

29. Plaintiffs’ litigation expenses and attorney’s fee will be calculated and paid within 30 days of entry of the Order approving this Agreement following the fairness hearing. Claims administration costs, including but not limited to any additional attorney fees and expenses incurred by Plaintiffs’ counsel after June 9, 2008, will be calculated and

paid within 30 days of entry of the Order of Dismissal by the Court. In addition, any copy costs incurred by Defendants pursuant to the obligation described in ¶16 above will be itemized and submitted to the Claims Administrator and counsel for Plaintiffs prior to entry of the Order of Dismissal, and will also be paid within 30 days of entry of the Order of Dismissal.

IX. DISTRIBUTION TO CLASS MEMBERS

30. Named class representatives Eddie Sutton and Lester Hank Turner (the first and originating plaintiffs in this case) will receive \$35,000.00 each; named class representatives Robin Littlepage, Tim May, Tabitha Nance, Ladonia Nelson, Linda Ford and Robert Teague \$25,000.00 each; and named class representatives Daniel Todd and Tony Ward \$15,000.00 each, in full and final settlement of all their claims against Defendants as well as for their service as named class representatives, including but not limited to surrendering their anonymity, bringing suit, submitting to discovery, and participating in and authorizing the settlement of this class action as set forth herein, all in complete satisfaction and discharge of their duties as named class representatives. Their checks shall issue within 30 days of entry of the Order approving this Agreement following the fairness hearing.

31. In addition to the above, all class members who have valid claims, who have been deposed in these proceedings, and who submit a claim form, shall be paid \$4,000.00 each in full and final settlement of all their claims against Defendants. A list identifying such persons is attached hereto as Appendix A.

32. All remaining class members will receive payment from the settlement funds that remain after deducting attorneys' fees, litigation expenses, expenses of claims

administration, payments to class representatives, and payments to deposed class members. Persons subjected **only** to one or more strip-searches on admission to the Jail, **or** one or more strip-searches after they became entitled to release from the Jail, and thus are members of **only** the "Entry" Class **or** the "Release" Class, will be paid an amount up to but not exceeding \$1500.00, depending upon the number of approved claimants to the settlement fund, in full and final settlement of all their claims against Defendants. Persons subjected **both** to one or more strip-searches on admission to the Jail **and** one or more strip-searches after they became entitled to release from the Jail, and thus are members of **both** the "Entry" Class **and** the "Release" Class, will be paid an amount up to but not exceeding \$2500.00 depending upon the number of approved claimants to the settlement fund, in full and final settlement of all their claims against Defendants.

33. The Claims Administrator will calculate the amount of money due each class member by assigning three points to every approved claimant who is a member of only one of the certified classes. Approved claimants who are members of both classes will be assigned five points. The Claims Administrator will divide the amount remaining in the settlement fund (after the payments made as provided above) by the total number of points to determine the amount each approved claimant will receive. Class members will receive only one payment no matter how many times they were admitted into and/or searched while incarcerated at the Jail during the class period.

34. Each class member's check will be made payable only in his or her name or, upon the death of a class member, to the personal representative of the deceased class member's estate upon filing an affidavit in compliance with the applicable statutory procedure for collection of personal property by affidavit of the rightful heir. The check will

be mailed to the class member at their mailing address. Any change of address request must be made in writing and must be signed by the claimant.

35. If a participating class member is confined in a prison, hospital, or other institution and has provided the address of such institution for payment, the settlement check will be sent to an appropriate institutional address unless the class member makes other acceptable arrangements in writing with the Claims Administrator. If a claimant's check is returned and the Claims Administrator has no record of a forwarding address, the Claims Administrator, after consulting with counsel for the parties, shall have discretion to take reasonable steps to locate such claimant.

X. POST-DISTRIBUTION ADMINISTRATION

36. Any settlement funds remaining after all payments contemplated by this Settlement Agreement have been paid will be returned by the Claims Administrator to Defendants.

37. At the conclusion of the claims administration process, the Claims Administrator shall provide a full and complete report to the parties and the Court that will include: (a) a list of approved claimants and the amounts paid to each; (b) a list of individuals whose claims were rejected by the administrator and the reasons therefor; and (c) a detailed accounting of all expenses incurred by and fees of the Claims Administrator.

XI. DECEASED CLASS MEMBERS

38. If a class member is deceased, a legally authorized representative may file a Settlement Claim Form on behalf of the estate. However, the representative must provide to the Claims Administrator the documentation required by ¶ 34 above, as well as a certified copy of the Probate Court order appointing the person as the authorized

representative of the deceased class member prior to the claims' deadline, or the claim will be denied.

XII. RELEASE

39. In consideration of payment of the settlement amount, Defendants will be released from all liability for all class members' claims for all strip- searches that were part of this lawsuit including class members who do not file claims, except for any class members who requested exclusion and filed a claim within the statute of limitations. The parties have expressly agreed that the release of claims arising from the settlement includes all visual inspections, including without limitation visual body cavity inspections, that otherwise fall within the scope of the claims certified as a class action by the Court in this case. The parties also agree that all such claims against all of Defendants' employees and other agents are barred because the settlement expressly covers Defendants, its employees and agents. Class members will be afforded an opportunity for exclusion as provided for in Fed.R.Civ.P. 23(e)(3), and as specified in the Notice of Settlement which will be submitted to the Court for approval.

For Plaintiffs and the Plaintiff Class:

Date: July 17, 2008

/s/ Gregory A. Belzley

Gregory A. Belzley
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For Defendants:

Date: July 17, 2008

/s/ Stacey A. Blankenship

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